

Kromatic quasisymmetric functions

Eric Marberg *Hong Kong University of Science and Technology*

1. Chromatic/kromatic symmetric/quasisymmetric functions

- All graphs $G = (V, E)$ have finite vertices and simple edges, and are not distinguished up to \cong . A *proper coloring* of a graph $G = (V, E)$ is a map $\kappa : V \rightarrow \mathbb{Z}_{>0}$ with $\kappa(u) \neq \kappa(v) \forall \{u, v\} \in E$.

Definition (Stanley, 1995)

The *chromatic symmetric function* of a graph $G = (V, E)$ is the sum over all proper colorings $X_G = \sum_{\kappa} x^{\kappa} = \sum_{\kappa} \prod_{v \in V} x_{\kappa(v)} \in \mathbf{Sym} = (\text{bounded degree symmetric functions over } \mathbb{Z})$.

- We say that $G = (V, E)$ is an *ordered graph* if there is a total order $<$ on the vertex set V . In this case let $\text{asc}_G(\kappa)$ be the number of edges $\{u, v\} \in E$ with $u < v$ and $\kappa(u) < \kappa(v)$.

Definition (Shareshian–Wachs, 2016)

The *chromatic quasisymmetric function* of an ordered graph G is the sum over all proper colorings $X_G(q) = \sum_{\kappa} q^{\text{asc}_G(\kappa)} x^{\kappa} \in \mathbf{QSym}[q] = (\text{quasisymmetric functions over } \mathbb{Z}[q])$.

- A *(proper) set-valued coloring* of G is a map $\kappa : V \rightarrow \{\text{finite nonempty subsets of integers } > 0\}$ with $\kappa(u) \cap \kappa(v) = \emptyset$ for all edges $\{u, v\} \in E$.

Definition (Crew–Pechenik–Spirkel, 2023)

The *kromatic symmetric function* of a graph $G = (V, E)$ is the sum over all set-valued colorings $\overline{X}_G = \sum_{\kappa} x^{\kappa} = \sum_{\kappa} \prod_{v \in V} \prod_{i \in \kappa(v)} x_i \in \mathbf{mSym} = (\text{arbitrary symmetric functions over } \mathbb{Z})$.

- If $G = K_n$ is the *complete graph* on $\{1, 2, \dots, n\}$ and $e_n = \sum_{1 \leq i_1 < i_2 < \dots < i_n} x_{i_1} x_{i_2} \cdots x_{i_n}$ then $X_G = n! \cdot e_n$ and $X_G(q) = [n]_q! \cdot e_n$ and $\overline{X}_G = n! \cdot \sum_{r \geq n} \binom{r}{n} e_r$.
- Stanley–Stembridge conjecture*: if P is a $(3+1)$ -free poset and $G = \text{inc}(P)$ then X_G is e -positive.

3. Hopf algebraic constructions

- The free module **Graphs** of finite simple graphs (up to \cong) is a combinatorial Hopf algebra with $\nabla(G_1 \otimes G_2) = G_1 \sqcup G_2$, $\Delta(G) = \sum_{S \sqcup T = V(G)} G|_S \otimes G|_T$, $\zeta(G) = 0^{|E(G)|} t^{|V(G)|}$.

The coproduct sums over **disjoint** partitions of vertices, with $G|_S$ denoting the induced subgraph.

Proposition (Aguar–Bergeron–Sottile, 2006)

The unique morphism $(\mathbf{Graphs}, \zeta) \rightarrow (\mathbf{QSym}, \zeta_{\mathbf{QSym}})$ sends each graph $G \mapsto X_G$.

- The free module **OGraphs** of ordered graphs (up to \cong) is likewise a combinatorial Hopf algebra with $\nabla(G_1 \otimes G_2) = G_1 \sqcup G_2$, $\Delta(G) = \sum_{S \sqcup T = V(G)} q^{\text{asc}_G(S, T)} G|_S \otimes G|_T$, $\zeta(G) = 0^{|E(G)|} t^{|V(G)|}$ when $\text{asc}_G(S, T) = |\{(s, t) \in S \times T : \{s, t\} \in E(G) \text{ and } s < t\}|$.

Proposition

The unique morphism $(\mathbf{OGraphs}, \zeta) \rightarrow (\mathbf{QSym}, \zeta_{\mathbf{QSym}})$ sends each ordered graph $G \mapsto X_G(q)$.

- There is a similar construction of \overline{X}_G using combinatorial Hopf algebras.

Theorem

Suppose we redefine the coproduct of **Graphs** to be the sum over arbitrary (rather than disjoint) unions:

$$\Delta(G) = \sum_{S \sqcup T = V(G)} G|_S \otimes G|_T.$$

Then the unique morphism $(\mathbf{Graphs}, \zeta) \rightarrow (\mathbf{QSym}, \zeta_{\mathbf{QSym}})$ sends each graph $G \mapsto \overline{X}_G$.

Moreover, this modified Hopf algebra structure on **Graphs** is “isomorphic” to the original one.

- Technical caveats: for the isomorphism just mentioned to hold, we must replace **Graphs** with its *completion mGraphs* (whose elements are infinite linear combinations of graphs) and work with the analogues of Hopf algebras in the category of *linearly compact \mathbb{K} -modules*.

Corollary

\overline{X}_G expands positively into the *multifundamental quasisymmetric functions* \overline{L}_α of Lam–Pylyavskyy.

2. Combinatorial Hopf algebras

- Let \mathbb{K} be an integral domain like \mathbb{Z} , \mathbb{Q} , $\mathbb{Z}[q]$, or $\mathbb{Q}(q)$.
- An *algebra* is a \mathbb{K} -module A with linear product $\nabla : A \otimes A \rightarrow A$ and unit $\iota : \mathbb{K} \rightarrow A$ maps. A *coalgebra* is a \mathbb{K} -module A with linear coproduct $\Delta : A \rightarrow A \otimes A$ and counit $\epsilon : A \rightarrow \mathbb{K}$ maps. The (co)product and (co)unit maps must satisfy several natural associativity axioms.

- A \mathbb{K} -module A that is both an algebra and a coalgebra is a *bialgebra* if the coproduct and counit maps are algebra morphisms, and a *Hopf algebra* if it further holds that the identity map

$$\text{id} : A \rightarrow A$$

has a two-sided inverse in the *convolution algebra*, which is the set of \mathbb{K} -linear maps $A \rightarrow A$ with unit element $\iota \circ \epsilon$ and product $f * g = \nabla \circ (f \otimes g) \circ \Delta$.

Definition (mildly generalizing Aguair–Bergeron–Sottile, 2006)

A *combinatorial Hopf algebra* is a Hopf algebra H with an algebra morphism $\zeta : H \rightarrow \mathbb{K}[[t]]$ such that $\zeta(\cdot)|_{t=0} = (\text{the counit of } H)$.

A *morphism* $(H, \zeta) \rightarrow (H', \zeta')$ is a Hopf algebra morphism $\phi : H \rightarrow H'$ with $\zeta = \zeta' \circ \phi$.

- A power series $f \in \mathbb{K}[[x_1, x_2, \dots]]$ is *quasisymmetric* if

$$[x_1^{\alpha_1} x_2^{\alpha_2} \cdots x_k^{\alpha_k}] f = [x_{i_1}^{\alpha_1} x_{i_2}^{\alpha_2} \cdots x_{i_k}^{\alpha_k}] f \quad \text{for all } 1 \leq i_1 < i_2 < \cdots < i_k \text{ and } \alpha \in \mathbb{Z}^k.$$

- The algebra of quasisymmetric functions \mathbf{QSym} over \mathbb{K} has a combinatorial Hopf algebra structure for the algebra morphism $\zeta_{\mathbf{QSym}} : \mathbf{QSym} \rightarrow \mathbb{K}[[t]]$ setting $x_1 \mapsto t$ and all other variables to zero.

The coproduct of \mathbf{QSym} corresponds informally to the “variable doubling operation”

$$\Delta : f(x_1, x_2, \dots) \mapsto f(x_1, x_2, \dots, y_1, y_2, \dots).$$

Theorem (mildly generalizing Aguair–Bergeron–Sottile, 2006)

There is a unique morphism $\Phi : (H, \zeta) \rightarrow (\mathbf{QSym}, \zeta_{\mathbf{QSym}})$ for each combinatorial Hopf algebra (H, ζ) .

4. Quasisymmetric versions of kromatic symmetric functions

- Assume G is an ordered graph. There are two simultaneous generalizations of $X_G(q)$ and \overline{X}_G . Neither comes from a combinatorial Hopf algebra but both are natural, in different ways.

Definition

For an ordered graph G let $\overline{L}_G(q) = \sum_{\kappa} q^{\text{asc}_G(\max \circ \kappa)} x^{\kappa} \in \mathbf{QSym}[q]$, summing over set-valued colorings.

- Changing ascents-to-descents or max-to-min in this definition give up to 4 different power series, but the distinct constructions are all related by simple automorphisms of $\mathbf{QSym}[q]$.
- If $G = K_n$ is the complete graph on $\{1, 2, \dots, n\}$ then $\overline{L}_G(q) = [n]_q! \cdot \sum_{r \geq n} \binom{r}{n} e_r$.

Theorem

The power series $\overline{L}_G(q)$ is always *multifundamental positive*, with a particularly simple multifundamental expansion into \overline{L}_α 's when $G = \text{inc}(P)$ is the incomparability graph of a finite poset $P \subset \mathbb{Z}$.

Theorem

However, the power series $\overline{L}_G(q)$ is symmetric in its x_i -variables if and only if G is a *cluster graph*.

- The second \mathbf{QSym} -version of \overline{X}_G is related to functions $X_G(\mathbf{x}, q, \mu)$ studied by Hwang (2022).

Definition

For an ordered graph G consider the sum over all set-valued colorings

$$\overline{X}_G(q) = \sum_{\kappa} q^{\text{asc}_G(\kappa)} x^{\kappa} = \sum_{\mu : V(G) \rightarrow \mathbb{Z}_{>0}} X_G(\mathbf{x}, q, \mu) \in \mathbf{QSym}[[q]]$$

where $\text{asc}_G(\kappa)$ counts all (u, v, i, j) with $\{u, v\} \in E(G)$, $i \in \kappa(u)$, $j \in \kappa(v)$, $u < v$, and $i < j$.

- In general $\overline{X}_G(q)$ is not multifundamental-positive, but it does have a different positivity property.
- A *natural unit interval order* is a poset P on a finite subset of $\mathbb{Z}_{>0}$ that is $(3+1)$ - and $(2+2)$ -free. Assume P is such a poset. When $q = 1$ the following recovers a theorem of Crew–Pechenik–Spirkel:

Theorem

If $G = \text{inc}(P)$ is the incomparability graph of a natural unit interval order P then \overline{X}_G has an explicit positive expansion into *symmetric Grothendieck functions* $\overline{s}_\lambda = \sum_{T \in \text{SetSSYT}(\lambda)} (-1)^{|T| - |\lambda|} x^T$.