

# Eulerian Polynomials for Digraphs

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## Overview

We study a generalization of the Eulerian polynomial to digraphs.

## Background

For a permutation  $\sigma \in \mathfrak{S}_n$ , let  $\text{des}(\sigma)$  be the number of **descents** of  $\sigma$ . The *Eulerian polynomial* is defined to be

$$A_n(t) = \sum_{\sigma \in \mathfrak{S}_n} t^{\text{des}(\sigma)}.$$

This has the well-known property:

$$A_n(-1) = \begin{cases} 0 & n \text{ even} \\ (-1)^{(n-1)/2} |Alt_n| & n \text{ odd} \end{cases}$$

where  $Alt_n$  is the set of *alternating* permutations  $\sigma_1 > \sigma_2 < \sigma_3 > \dots$

## Extending to digraphs

Let  $D$  be a digraph on  $n$  vertices. For a bijection  $\sigma : V \rightarrow [n]$ , a **descent** is an edge  $u \rightarrow v$  such that  $\sigma(u) > \sigma(v)$ . Let  $\text{des}_D(\sigma)$  be the number of descents of  $\sigma$ .

$$\textcircled{3} \rightarrow \textcircled{3} \rightarrow \textcircled{2} \leftarrow \textcircled{5} \rightarrow \textcircled{1} \quad \text{des}(\sigma) = 4$$

The **Eulerian polynomial of a digraph**  $D$  is defined as

$$A_D(t) = \sum_{\sigma \in \mathfrak{S}_D} t^{\text{des}_D(\sigma)}$$

where  $\mathfrak{S}_D$  is the set of bijections  $\sigma : V \rightarrow [n]$ .  $A_D(t)$  was first studied by Foata and Zeilberger in 1996.

### Example

Let  $D$  be  $\textcircled{1} \rightarrow \textcircled{2} \leftarrow \textcircled{3}$ . Then label in every way possible

$$\textcircled{1} \rightarrow \textcircled{2} \leftarrow \textcircled{3} \quad \textcircled{2} \rightarrow \textcircled{3} \leftarrow \textcircled{1}$$

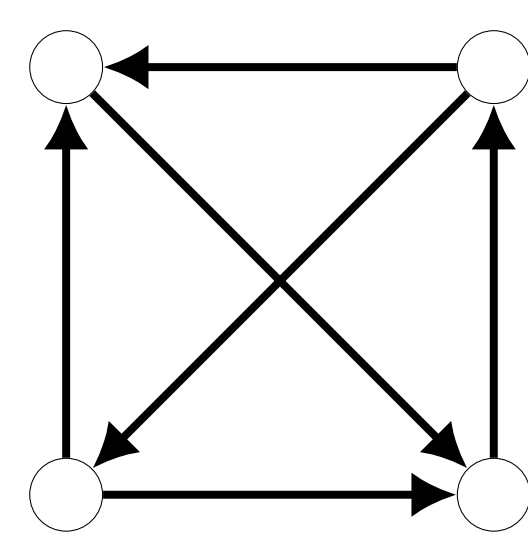
$$\textcircled{1} \rightarrow \textcircled{3} \leftarrow \textcircled{2} \quad \textcircled{3} \rightarrow \textcircled{1} \leftarrow \textcircled{2}$$

$$\textcircled{2} \rightarrow \textcircled{1} \leftarrow \textcircled{3} \quad \textcircled{3} \rightarrow \textcircled{2} \leftarrow \textcircled{1}$$

$$A_D(t) = 2 + 2t + 2t^2$$

### Example (Audience question)

For what digraph(s)  $D$  is  $A_D(t) = \sum_{\sigma \in \mathfrak{S}_n} t^{\text{inv}(\sigma)}$ ?



$$A_D(t) = 1 + 3t + 5t^2 + 6t^3 + 5t^4 + 3t^5 + t^6$$

## What is an "alternating permutation" of a directed graph?

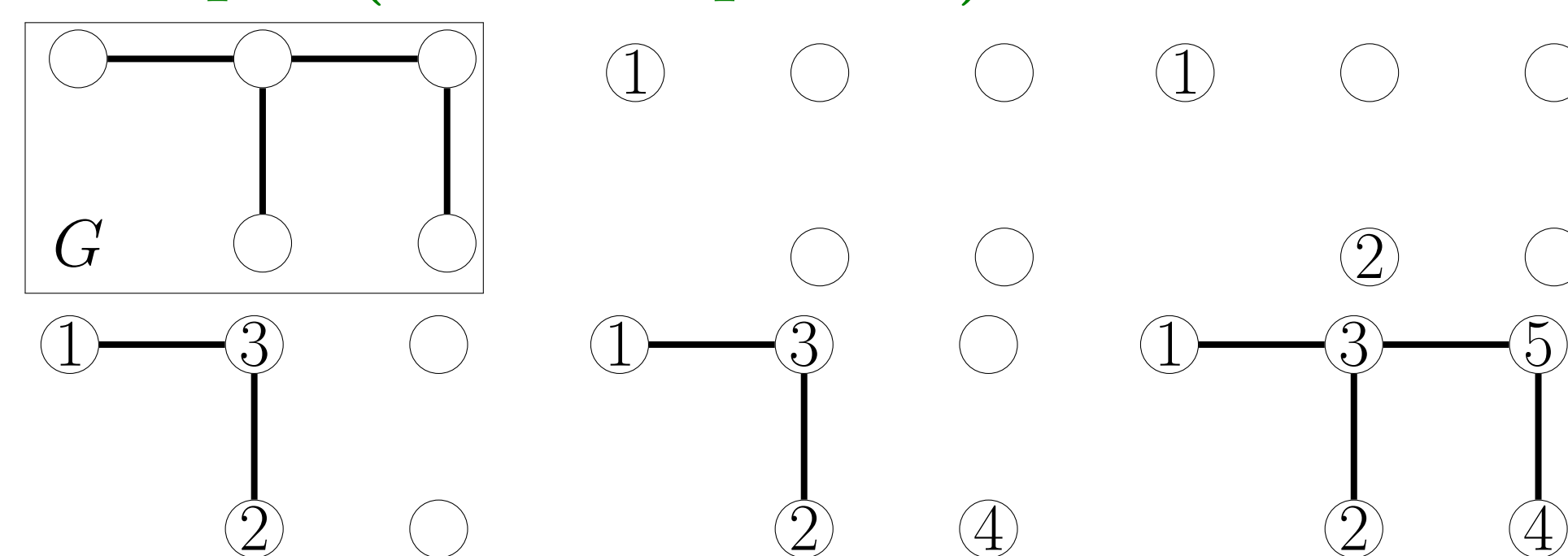
If  $A_n(-1)$  is the number of *alternating permutations*, what is  $A_D(-1)$  for a digraph  $D$ ?

### Lemma (Kalai 2002)

If  $D, D'$  are two orientations of the same graph  $G$ , then  $|A_D(-1)| = |A_{D'}(-1)|$

An **even sequence** of an  $n$ -vertex graph  $G$  is an ordering  $\pi = (\pi_1, \dots, \pi_n)$  of the vertex set  $V(G)$  such that if each of the subgraphs  $G[\pi_1, \dots, \pi_i]$  induced by the first  $i$  vertices of  $\pi$  have an *even* number of edges for all  $1 \leq i \leq n$ .

### Example (Even sequence)



## Theorem (Celano–Sieger–Spiro 2023)

If  $D$  is a digraph which is either bipartite, complete multipartite, or a blowup of a cycle, then  $|A_D(-1)|$  is the number of even sequences of its underlying graph.

### Example

The even sequences of  $\textcircled{1} \rightarrow \textcircled{2} \leftarrow \textcircled{3}$  are

$$\textcircled{1} \rightarrow \textcircled{3} \rightarrow \textcircled{2} \quad \textcircled{2} \rightarrow \textcircled{3} \rightarrow \textcircled{1}$$

And  $|A_D(-1)| = |2 + 2(-1) + 2(-2)^2| = 2$

### Example

$\pi \in \mathfrak{S}_n$  is an alternating permutation if and only if  $\pi^{-1}$  is an even sequence of  $P_n$ .

$$\textcircled{2} \rightarrow \textcircled{3} \rightarrow \textcircled{1} \rightarrow \textcircled{5} \rightarrow \textcircled{4}$$

Alternating permutation  $\pi = 23154$

$$\textcircled{2} \rightarrow \textcircled{3} \rightarrow \textcircled{1} \rightarrow \textcircled{5} \rightarrow \textcircled{4}$$

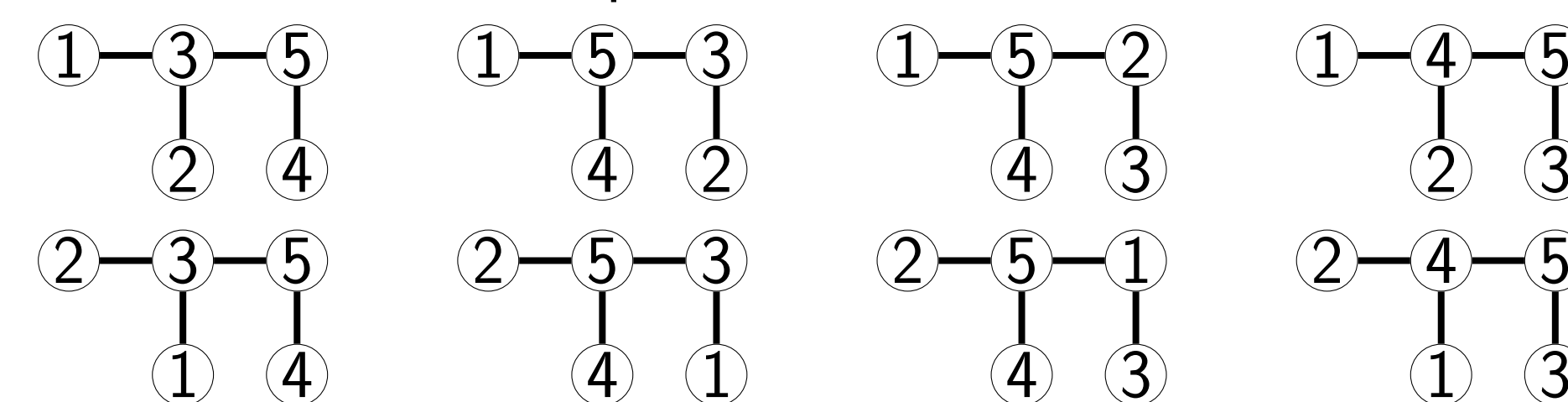
Even sequence  $\pi^{-1} = 31254$

### Example

If  $D$  directs the edges of  $G$  down and to the right, then

$$A_D(x) = 3 + 28x + 58x^2 + 28x^3 + 3x^4 \quad |A_D(-1)| = 8$$

and there are 8 even sequences.

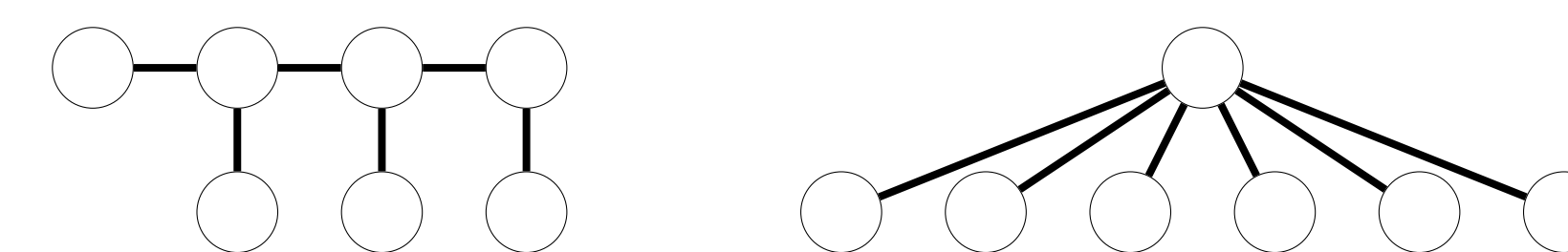


### Example (Extreme values for trees)

For a given directed tree  $T$  on  $2n+1$  vertices,

$$2^n n! \leq |A_T(t)| \leq (2n)!$$

where lower and upper bounds are achieved only by the *hairbrush* and *star*, respectively.



The *hairbrush* (lower bound)    The *star* (upper bound)

## Multiplicity of $-1$

When  $A_D(-1) = 0$ , we know that

$$A_D(t) = (1+t)^m B(t)$$

for some  $m$  and some  $B(t)$  with  $B(-1) \neq 0$ . What is  $m$ ? What is the largest it can be?

### Example

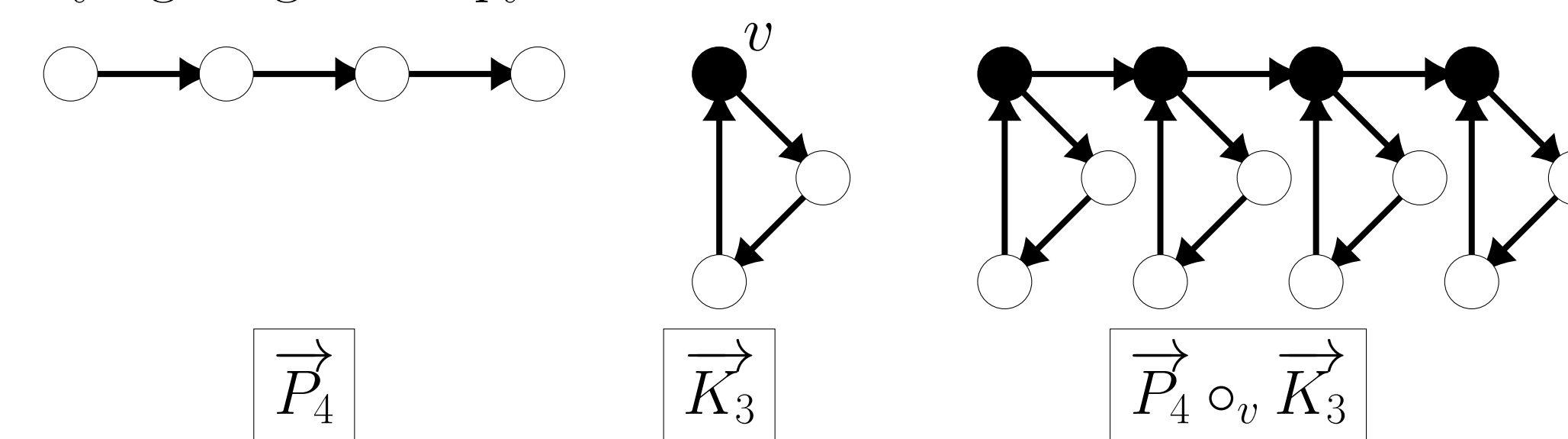
If  $D$  is an **<Audience Answer>**, then

$$A_D(t) = \sum_{\sigma \in \mathfrak{S}_n} t^{\text{inv}(\sigma)} = [n]_t! = (1+t)^{\lfloor n/2 \rfloor} B(t).$$

It turns out that  $m = \lfloor n/2 \rfloor$  is the highest you'll ever see.

We can study the multiplicity in more detail with the following construction:

Given digraphs  $D_1, D_2$  and a root vertex  $v \in D_2$ , the *rooted product digraph*, denoted  $D_1 \circ_v D_2$ , is obtained by gluing a copy of  $D_2$  at  $v$  to each vertex of  $D_1$ .



## Lemma (Celano–Sieger–Spiro 2023)

If  $D_1$  has  $d_1$  vertices and  $D_2$  has  $d_2$  vertices then

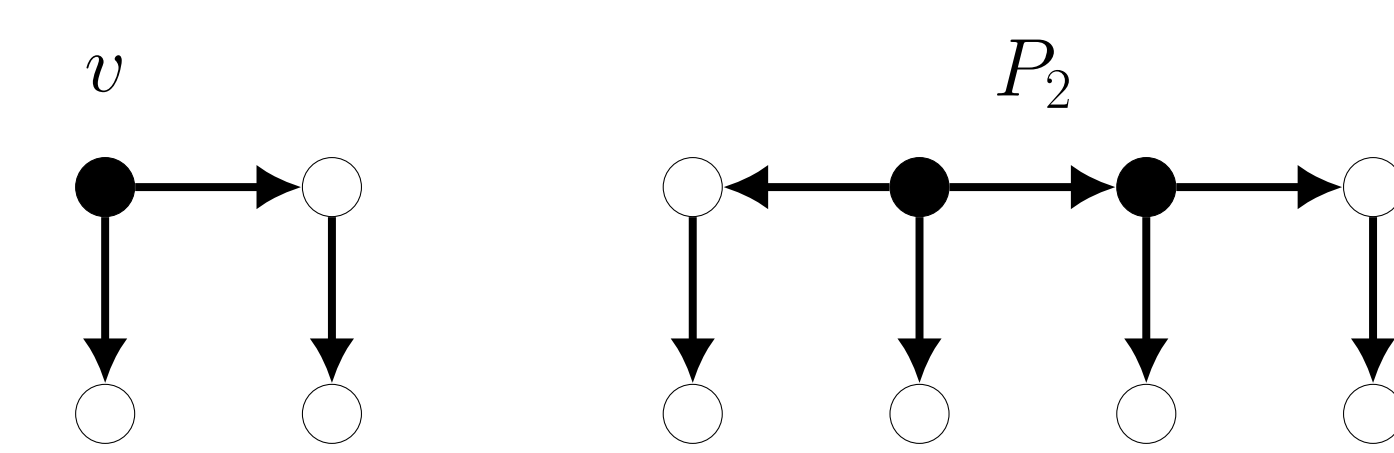
$$A_{D_1 \circ_v D_2}(t) = \frac{1}{d_2!} \binom{d_1 \cdot d_2}{d_1, \dots, d_1} \cdot A_{D_1}(t) A_{D_2}(t)^{d_1}.$$

Define a family of graphs recursively by

$$L_1 = P_2 \quad \text{and} \quad L_{n+1} = L_n \circ P_2.$$

By the formula and induction, we get

$$A_{L_n}(t) = (2^m)! \left( \frac{1+t}{2} \right)^{2^{n-1}}.$$



$L_2$

$L_3 = L_2 \circ_v P_2$

Disjoint products of these graphs bound  $m$ .

## Theorem (Celano–Sieger–Spiro 2023)

Suppose  $m$  is multiplicity of  $-1$  of  $A_D(t)$  for a digraph  $D$ . Then

- $m \leq n - s_2(n)$  where  $s_2(n)$  is the number of 1's in the binary expansion of  $n$ .
- If  $D$  is any tournament, then  $m = \lfloor n/2 \rfloor$

## Open questions and conjectures

In general, there are more than  $|A_D(-1)|$  even sequences.

**Question 1:** Can one give a combinatorial interpretation for  $|A_D(-1)|$  for arbitrary digraphs  $D$  as some *special* even sequences?

The following is a very natural generalization of our multiplicity results for tournaments.

**Conjecture 2:** If  $D$  is the orientation of a complete multipartite graph which has  $r$  parts of odd size, then  $m = \lfloor \frac{r}{2} \rfloor$ .

We know when 0 and  $-1$  are roots of  $A_D(t)$ . We have not found any other *integral* roots so far.

**Question 3:** Does there exist a digraph  $D$  such that  $A_D(t)$  has an *integral* root which is not equal to either 0 or  $-1$ ?

Another well-known property of  $A_n(t)$  is that it is *unimodal* i.e.  $a_0 \leq a_1 \leq \dots \leq a_{\lfloor n-1 \rfloor/2} \geq \dots \geq a_{n-1}$ . Due to their connection with Hessenberg varieties, naturally oriented *unit interval graphs*  $D$  have unimodal  $A_D(t)$ .

**Question 4:** For which digraphs  $D$  is  $A_D(t)$  unimodal?

## For Further Information

- K. Celano, N. Sieger, and S. Spiro. *Eulerian polynomials for digraphs*. 2023. arXiv:2309.07240.
- D. Foata and D. Zeilberger, *Graphical major indices*, Journal of Computational and Applied Mathematics **68** (1996), no. 1, 79–101.
- G. Kalai, *A fourier-theoretic perspective on the condorcet paradox and arrow's theorem*, Advances in Applied Mathematics **29** (2002), no. 3, 412–426.