

# Smirnov words and the Delta conjectures

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FPSAC 2024, Bochum (Tief im Westen)

# What this talk is about

We are interested a **symmetric function** in  $(x_i)_{i \geq 1}$ , with coeffs in  $\mathbb{Q}(q)$ :

$$\text{OT}_q(n, k, l) := \Theta_{e_k} \Theta_{e_l} \nabla e_{n-k-l}(x_1, x_2, \dots) \Big|_{t=0}$$

where  $\Theta_f, \nabla$  are certain operators with parameters  $q, t$ .

(Motivation: it is conjecturally the “graded Frobenius characteristic of the  $(k, l)$ -component of the  $\mathbb{S}_n$ -coinvariants  $R_{1,2}(n)$ ” as we should see in  $\simeq 20$  minutes)

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We first prove a **combinatorial expansion**: let  $\text{SMIR}(n, k, l)$  be the set of *segmented Smirnov words* with  $k$  descents and  $l$  rises.

We will determine a statistic **sminv** such that

**Theorem** ([Iraci, N., Vanden Wyngaerd '24]).

$$\text{OT}_q(n, k, l) = \sum_{w \in \text{SMIR}(n, k, l)} q^{\text{sminv}(w)} x_{w_1} x_{w_2} \cdots x_{w_n}.$$

We will then explain the connection to the **Delta conjectures**.

# (Segmented) Smirnov words

A **Smirnov word** of length  $n$  is a word  $w = w_1w_2 \cdots w_n$  with  $w_i \in \mathbb{Z}_{>0}$  such that  $w_i \neq w_{i+1}$  for all  $i < n$ .

**Example** :  $w = 1474273435$  has length  $n = 10$ .

$i$  is a descent of  $w$  if  $w_i > w_{i+1}$ , and an ascent if  $w_i < w_{i+1}$ .

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A **segmented Smirnov word**  $w$  of shape  $\alpha = (\alpha_1, \dots, \alpha_s) \vDash n$  has the form  $w = w^1 w^2 \cdots w^s$  with  $w^i$  a Smirnov word of length  $\alpha_i$ .

**Example** :  $23|1242|2|31$  has shape  $(2, 4, 1, 2)$

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**Definition.** Let  $\text{SMIR}(n, k, l)$  be the set of segmented Smirnov words of length  $n$  with  $k$  descents and  $l$  ascents.

Note: Such words have exactly  $n - k - l$  blocks.

# The statistic $\text{sminv}$

**Definition.** For a segmented Smirnov word  $w$ , we say that  $i < j$  is a **sminversion** if  $w_i > w_j$  and one of the following holds:

1.  $w_j$  is the first letter of its block (“initial”);
2.  $w_{j-1} > w_i$ ;
3.  $i \neq j - 1$ ,  $w_{j-1} = w_i$ , and  $w_{j-1}$  is initial;
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Let  $\mu = (\mu_1, \mu_2, \dots, \mu_m, 0, 0, \dots)$  with  $\mu_i \geq 0$ .

Define  $w \in \text{SMIR}(\mu, k, l)$  if  $w$  has  $\mu_i$  occurrences of  $i$  for any  $i$ , and

$$\text{SMIR}_q(\mu, k, l) := \sum_{w \in \text{SMIR}(\mu, k, l)} q^{\text{sminv}(w)}.$$

# Key recurrence

If  $\mu = (\mu_1, \dots, \mu_m, 0, \dots)$ , define  $\mu^- = (\mu_1, \dots, \mu_{m-1}, 0, 0, \dots)$ .

**Proposition**[Iraci, N., Vanden Wyngaerd '24] Denote  $B = \sum_i \mu_i - k - l$ .

$$\begin{aligned} \text{SMIR}_q(\mu, k, l) &= \sum_{i=0}^{\mu_m} \sum_{r=i}^{\mu_m} \sum_{a=i}^{\mu_m} q^{\binom{r-i}{2}} \begin{bmatrix} B - (\mu_m - r - a + i) \\ r - i \end{bmatrix}_q q^{\binom{a-i}{2}} \begin{bmatrix} B - (\mu_m - r - a + i) \\ a - i \end{bmatrix}_q \\ &\quad \times \begin{bmatrix} B \\ \mu_m - r - a + i \end{bmatrix}_q \begin{bmatrix} B - \mu_m + r + a - 1 \\ i \end{bmatrix}_q \text{SMIR}_q(\mu^-, k - r, l - a). \end{aligned}$$

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Note that this is a recurrence with positive integer coefficients, it thus requires a combinatorial proof that we provide.

We sketch it in the standard case  $\mu = (1, 1, \dots, 1, 0, \dots) = (1^n)$ , that is when we consider segmented permutations.

# Proof sketch in the standard case

The recurrence simplifies greatly:

$$\begin{aligned} \text{SMIR}_q(1^n, k, l) = [n - k - l]_q & \left( \text{SMIR}_q(1^{n-1}, k, l) + \text{SMIR}_q(1^{n-1}, k - 1, l) \right. \\ & \left. + \text{SMIR}_q(1^{n-1}, k, l - 1) + \text{SMIR}_q(1^{n-1}, k - 1, l - 1) \right) \end{aligned}$$

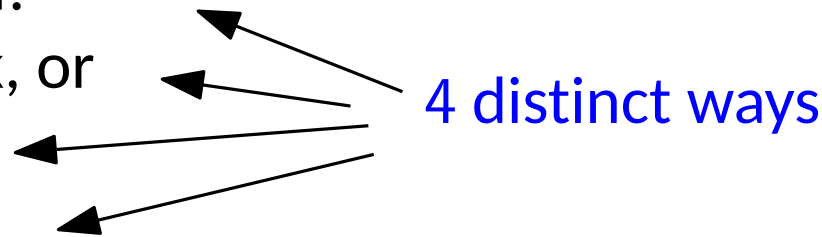
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**Proof.** Insert the value  $n$  in a segmented permutation of length  $n - 1$ .

1. replacing a block separator.
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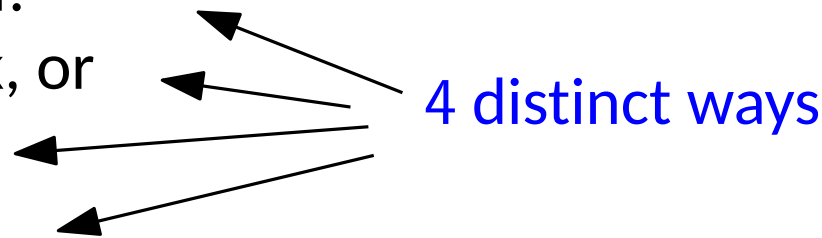
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In each case, there are  $B$  ways to do this, where  $B = n - k - l$  is the number of blocks in the resulting segmented permutation.

Moreover, the statistic  $\text{sminv}$  can augment by  $0, 1, \dots, B - 1$ , leading to the factor  $[n - k - l]_q$ . □

**Remark.** This is essentially the “Laguerre history” for permutations, linked to orthogonal polynomials and continued fractions.

# Recurrence for the symmetric function

Let  $OT_q(\mu, k, l)$  be the coefficient of  $x^\mu = x_1^{\mu_1} x_2^{\mu_2} \cdots$  in  $OT_q(n, k, l)$ .

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The proof is a specialization at  $t = 0$  of a formula of D'Adderio and Romero + some simplifications by elementary  $q$ -identities.

Finally our main theorem

$$OT_q(n, k, l) = \sum_{w \in \text{SMIR}(n, k, l)} q^{\text{sminv}(w)} x_{w_1} x_{w_2} \cdots x_{w_n}$$

follows since coefficients on both sides satisfy the same recurrences.



# From $S_n$ -representations to combinatorics

Finite dimensional  $S_n$ -representation  $V$  over  $\mathbb{C}$ .

↓ Frobenius characteristic Frob

Symmetric function  $F_V$

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Combinatorial expansion  $F_V = \sum_{o \in \text{Objects}_V} x^{\text{wt}(o)}$

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If the  $S_n$  representation preserves certain  $\mathbb{N}$ -gradings

⇒ use *graded* Frobenius characteristic  $\text{grFrob}_{q_1, q_2, \dots}$  with indeterminates  $q_1, q_2, \dots$  to record these gradings in  $F_V$ .

# Coinvariant spaces

$\mathbb{S}_n$  acts on  $P(n) := \mathbb{C}[t_1, \dots, t_n]$  via  $\sigma \cdot f(t_1, \dots, t_n) := f(t_{\sigma(1)}, \dots, t_{\sigma(n)})$ .

$\mathbb{S}_n$  acts on  $\Lambda(n) := \text{Ext}(\xi_1, \dots, \xi_n)$  via  $\sigma \cdot f(\xi_1, \dots, \xi_n) := f(\xi_{\sigma(1)}, \dots, \xi_{\sigma(n)})$ .

(Here we have anticommuting variables  $\xi_i \xi_j + \xi_j \xi_i = 0$ .)

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Let us combine them.

$T_{a,b}(n) = P(n)^{\otimes a} \otimes \Lambda(n)^{\otimes b}$  with  $\mathbb{S}_n$  acting diagonally.

$\mathbb{S}_n$ -invariants in  $T_{a,b}(n)$  with zero constant term generate an ideal  $T_{a,b}^+(n)$ .

**Definition.** The coinvariant space  $R_{a,b}(n)$  is defined as the quotient  $\mathbb{S}_n$  representation

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The action preserves all  $a + b$  gradings  $\Rightarrow \text{grFrob}_{q_1, \dots, q_a; u_1, \dots, u_b} R_{a,b}(n)$ .

Let us now see small values of  $a, b$ .

# Delta and Theta

**Conjecture.**[Zabrocki '18]  $\text{grFrob}_{q,t;z}(R_{2,1}(n))$  is equal to  $\sum_k z^k \Delta'_{e_{n-k-1}} e_n$ .

where  $\Delta'_{e_{n-k-1}}$  is a certain operator with parameters  $q, t$ .

**Conjecture.**[D'Adderio, Iraci and Vanden Wyngaerd '21]

$\text{grFrob}_{q,t;u,v}(R_{2,2}(n))$  is equal to  $\sum_{k,l} u^k v^l \Theta_{e_k} \Theta_{e_l} \nabla e_{n-k-l}$ .

where  $\Theta_{e_j}$  is another operator with parameters  $q, t$ .

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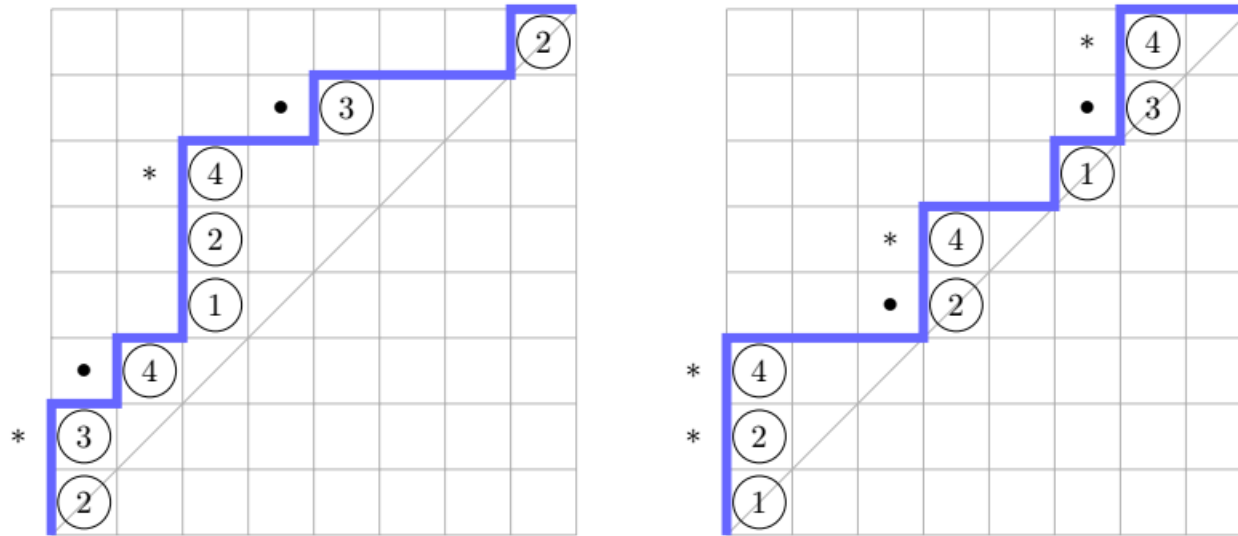
**Combinatorics:**The **Delta conjectures** (Haglund and al '18) claim that

$$\Delta'_{e_{n-k-1}} e_n = \sum_{D \in \text{LD}(n)^{*k}} q^{\text{dinv}(D)} t^{\text{area}(D)} x^D = \sum_{D \in \text{LD}(n)^{\bullet k}} q^{\text{dinv}(D)} t^{\text{area}(D)} x^D,$$

rise version
valley version

The rise version is now a theorem due to D'Adderio and Mellit '22.

# Labeled Dyck paths



$LD(n)$  is the set of labeled Dyck paths of length  $n$ , where labels strictly increase on consecutive North steps.

A **rise** is a North step preceded by a North step. A **contractible valley** is a valley such that “removing the east step gives a good labeling”.

Let  $LD(n)^{*k, \bullet l}$  be the subset of  $LD(n)$  with  $k$  decorated rises and  $l$  decorated contractible valleys, and  $LD(\mu)^{*k, \bullet l}$  those with content  $\mu$ .

The **area** of a path is the number of cells under the path that are *not* east of a decorated valley.



# Labeled Dyck paths of area zero

D'Adderio, Iraci and Vanden Wyngaerd conjecture in addition

$$\Theta_{e_k} \Theta_{e_l} \nabla e_{n-k-l} \Big|_{q=1} = \sum_{D \in \text{LD}(n)^{*k, \bullet l}} t^{\text{area}(D)} x^D$$

A potential **unified Delta conjecture** consists in finding a  $q$ -statistic on paths that restricts to the two Delta conjectures when  $k = 0$  or  $l = 0$ .

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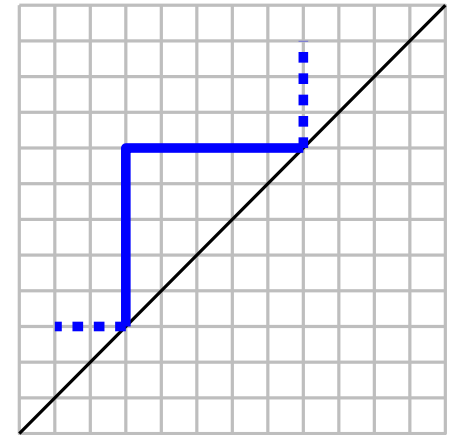
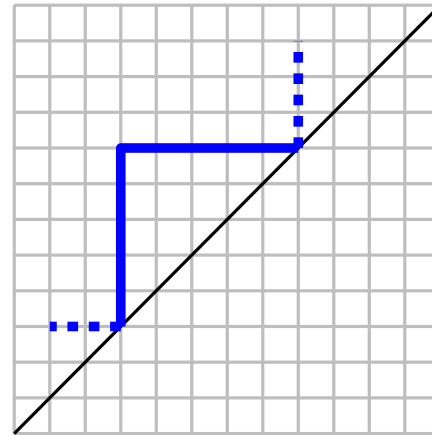
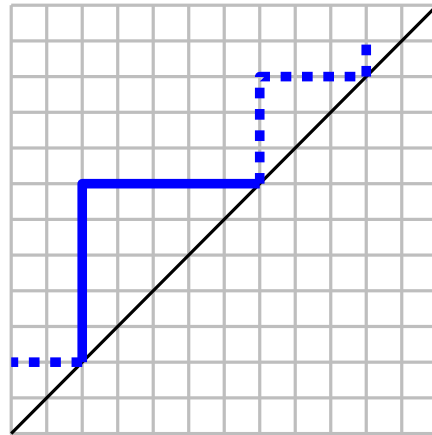
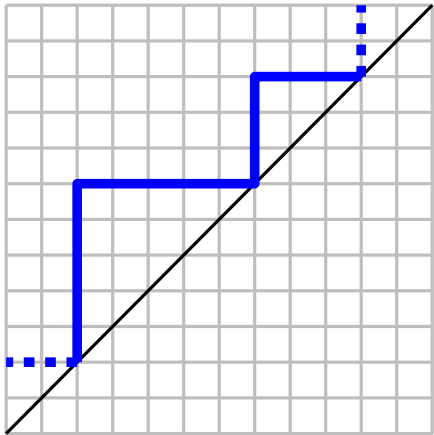
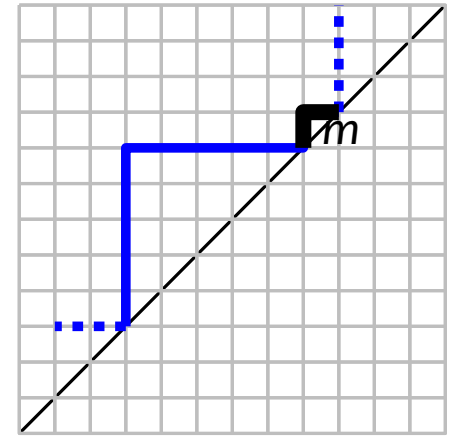
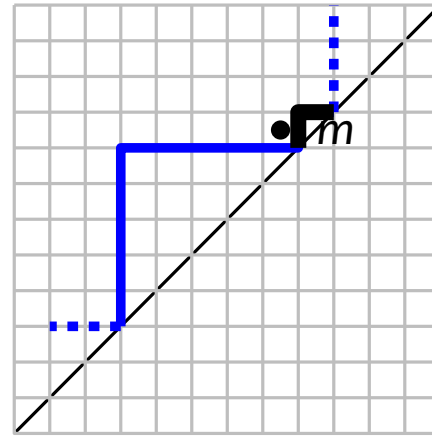
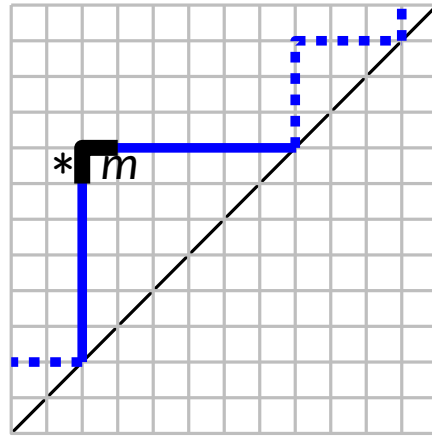
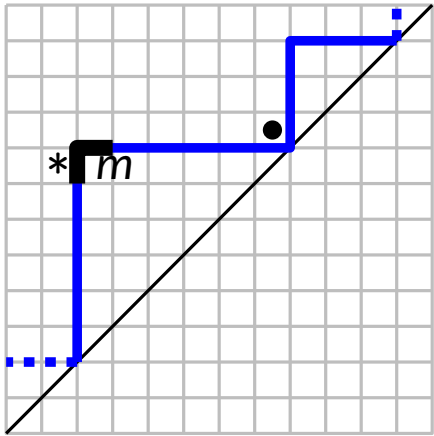
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When  $t = 0$ , we get back our series  $\text{OT}_q(n, k, l)$  at  $q = 1$ . Thus a special case of the conjecture is the following result:

**Theorem.** [Iraci, N., Vanden Wyngaerd '24] The subset  $\text{LD}_0(n)^{*k, \bullet l}$  of paths of area zero is in bijection with  $\text{SMIR}(n, k, l)$ .

# Recursion for area zero paths.



# Unified Delta theorem at $t = 0$

We can moreover define a statistic  $\text{sdiv} \neq \text{sminv}$  that coincides with  $\text{div}$  in the Delta conjectures at  $t = 0$  (via the previous bijection).

**Theorem.** [Iraci, N., Vanden Wyngaerd '24]

$$\text{OT}_q(n, k, l) = \sum_{D \in \text{LD}_0(n)^{*k, \bullet l}} q^{\text{sdiv}(D)} x^D.$$

“Unified Delta theorem at  $t = 0$ ”

# Unified Delta theorem at $t = 0$

We can moreover define a statistic  $\text{sdiv} \neq \text{sminv}$  that coincides with  $\text{div}$  in the Delta conjectures at  $t = 0$  (via the previous bijection).

**Theorem.** [Iraci, N., Vanden Wyngaerd '24]

$$\text{OT}_q(n, k, l) = \sum_{D \in \text{LD}_0(n)^{*k, \bullet l}} q^{\text{sdiv}(D)} x^D.$$

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