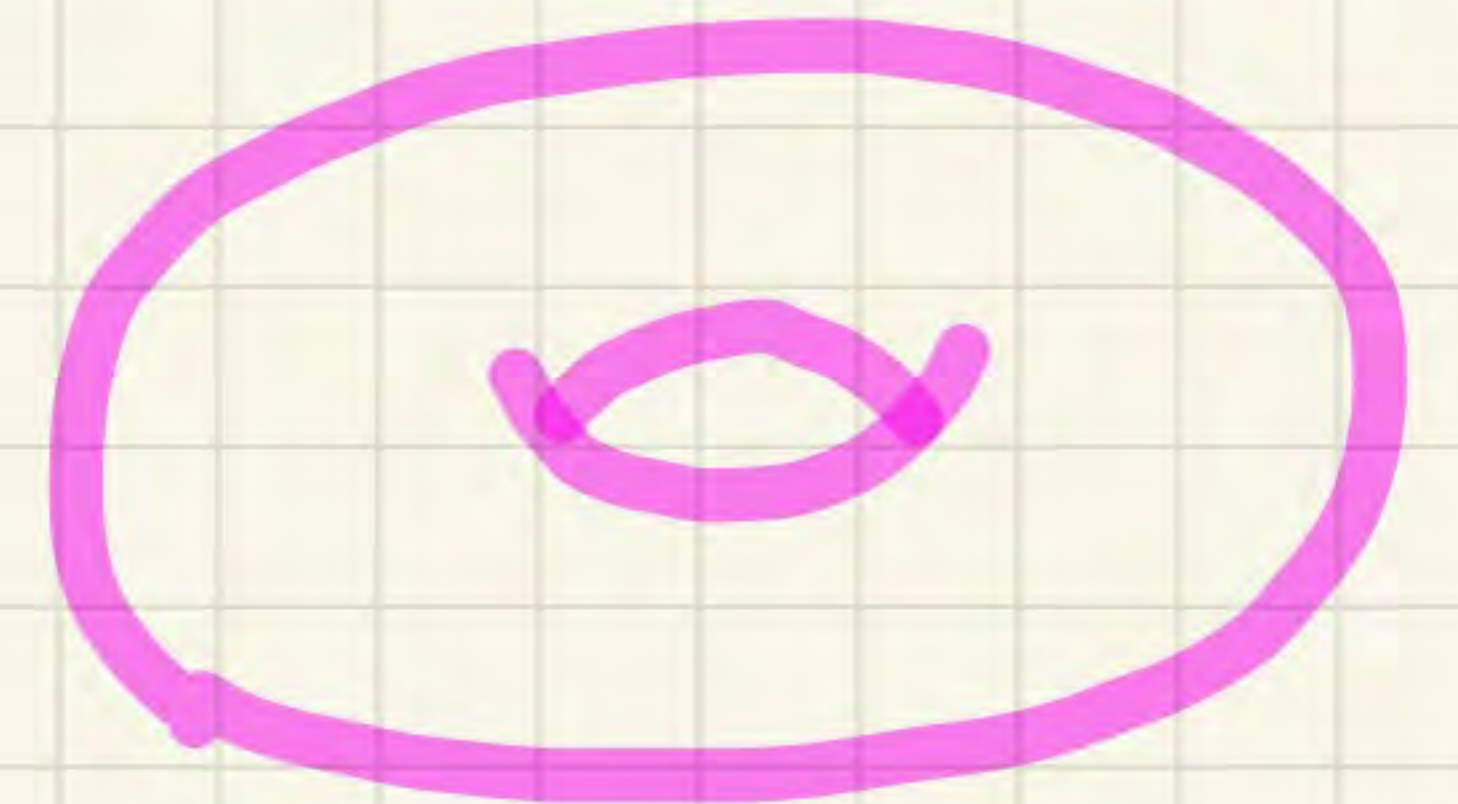


# Stanley-Reisner rings and triangulated manifolds

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FPSAC 2024, July 23, Bochum



# Outline of today's talk

- ① A combinatorial question on triangulated manifolds
- ② Quick intro on Stanley-Reisner rings
- ③ Stanley-Reisner rings of triangulated manifolds

# Quick intro to vertex minimal triangulations

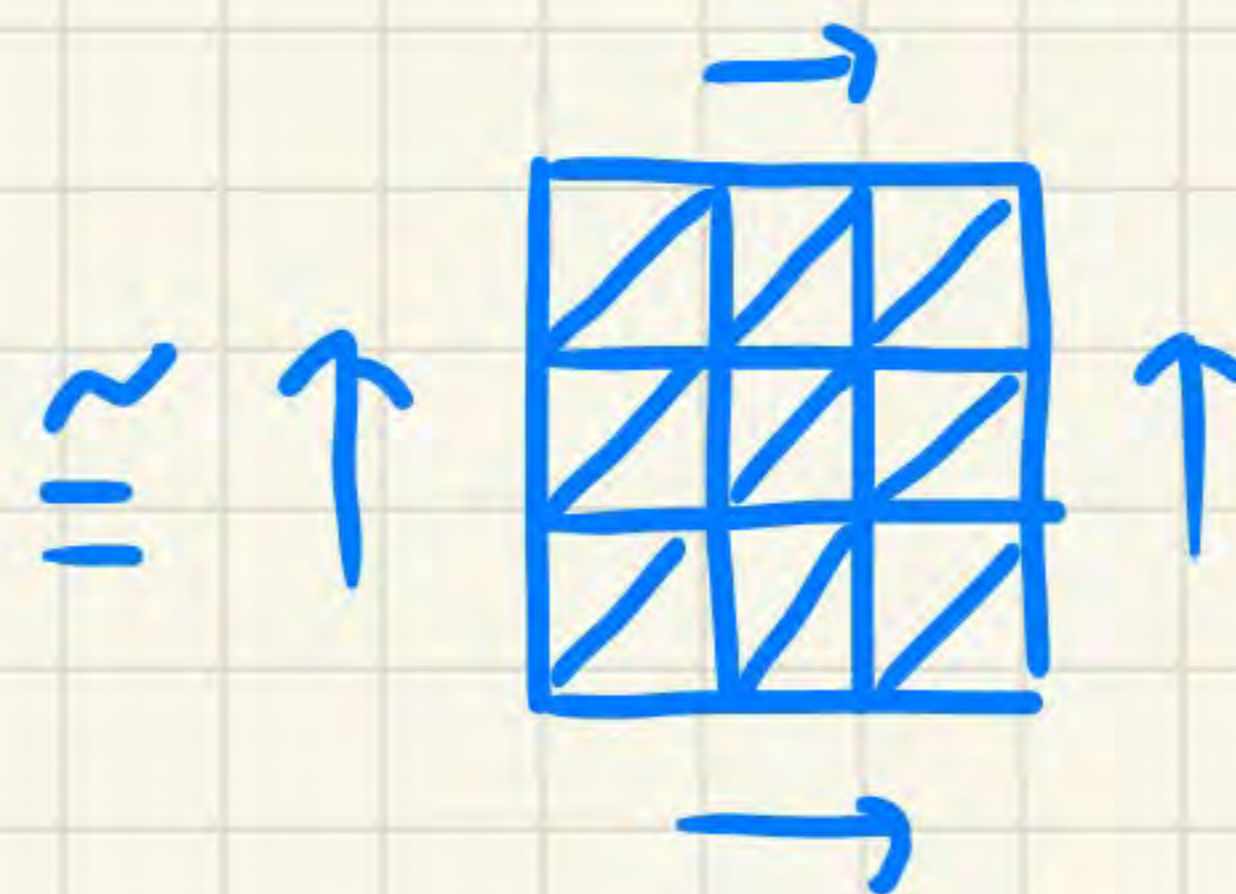
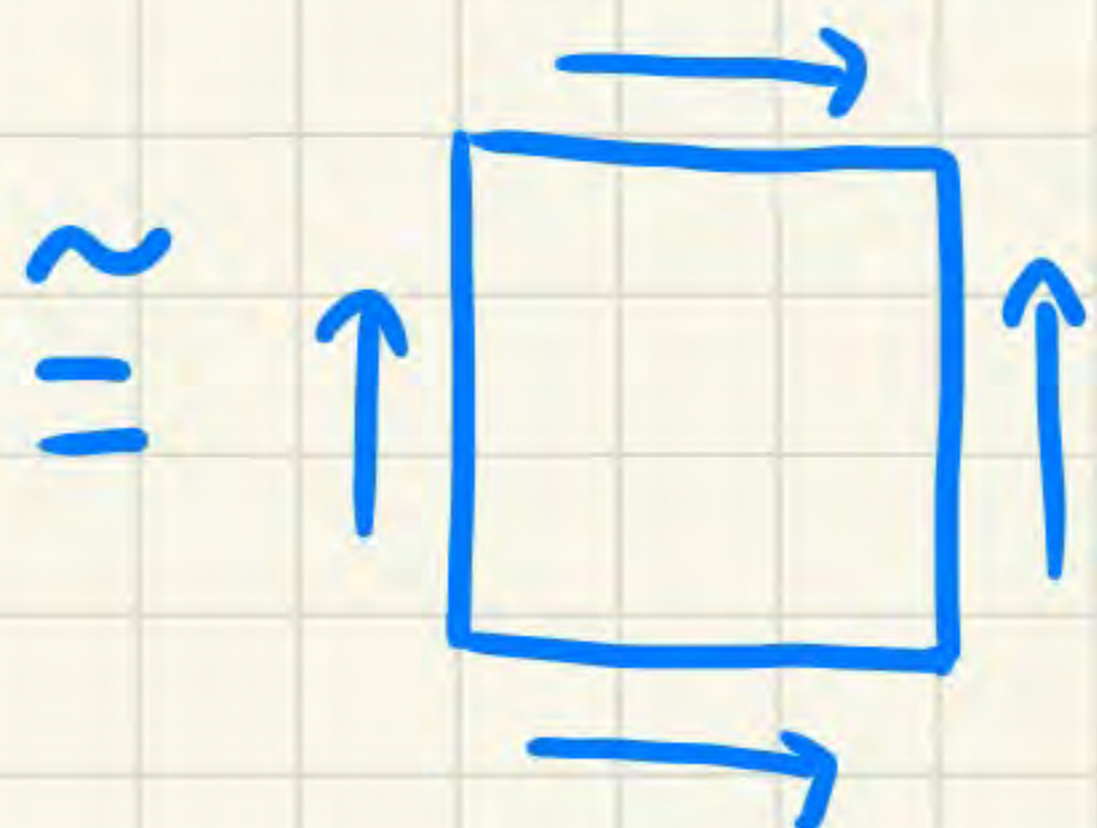
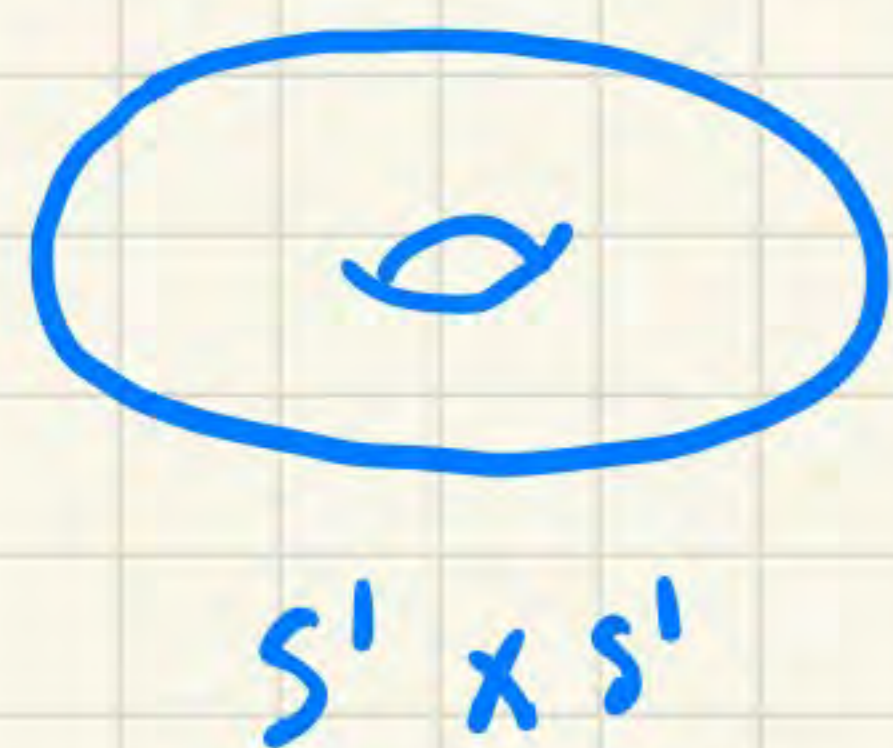
①  $\Delta$  : abstract simplicial complex on a finite set  $V$

(  $\Delta$  is a collection of subsets of  $V$   
closed under inclusion )

②  $M$  : connected topological manifold (mfd)

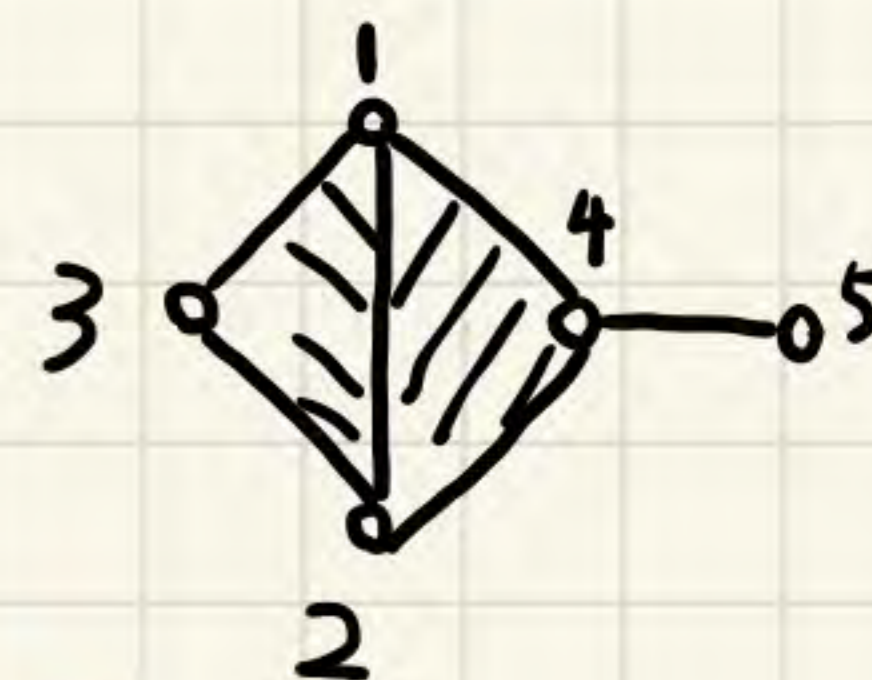
③  $\Delta$  is a **triangulation** of  $M$

$$\stackrel{\text{def}}{\iff} |\Delta| \cong_{\text{homeo}} M$$



$\Delta$  has a **geometric realization**  $|\Delta|$

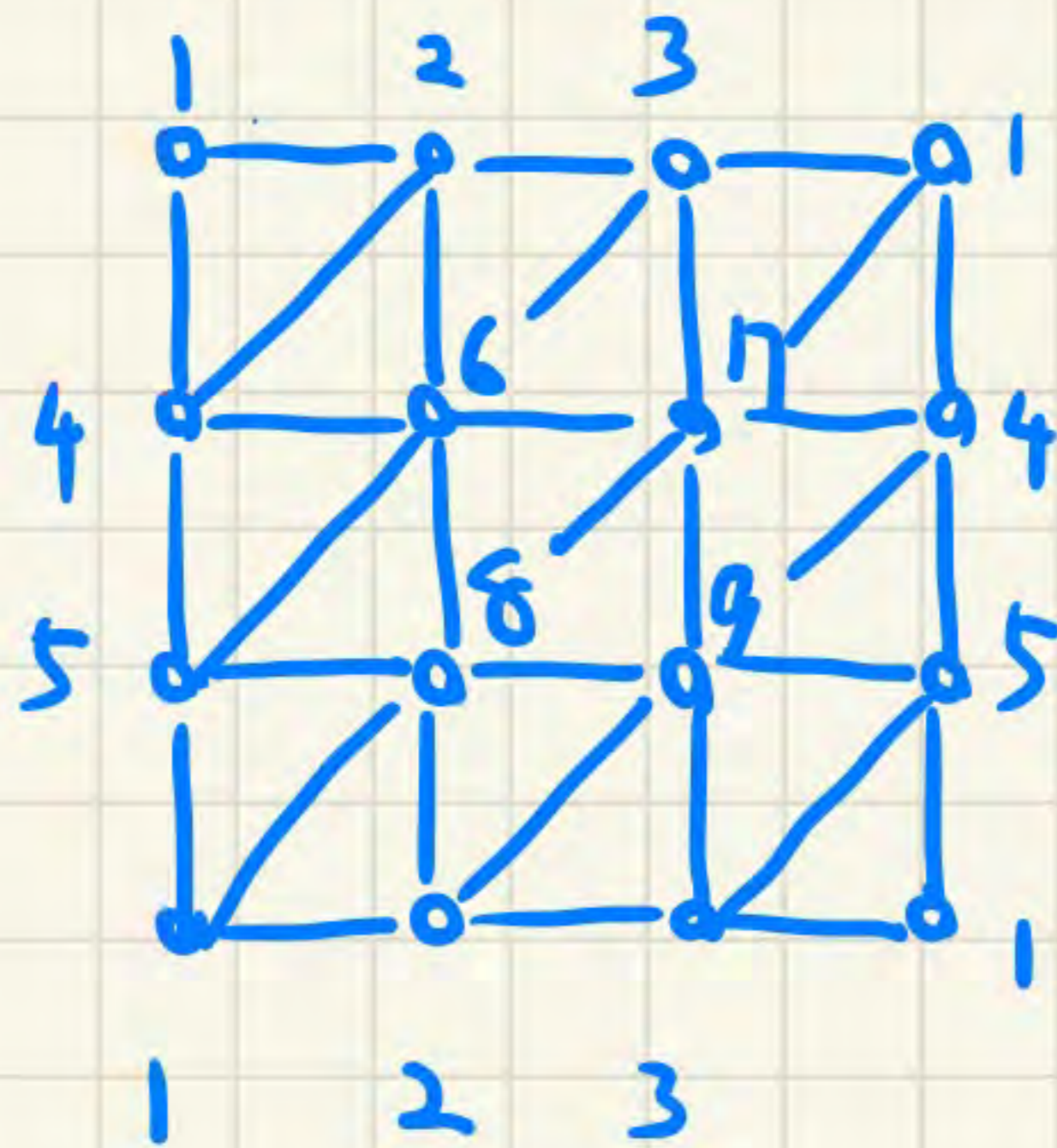
$$\Delta = \left\{ \begin{array}{l} 123, 124, \\ 12, 13, 23, 14, 24, 45 \\ 1, 2, 3, 4, 5 \end{array} \right\}$$



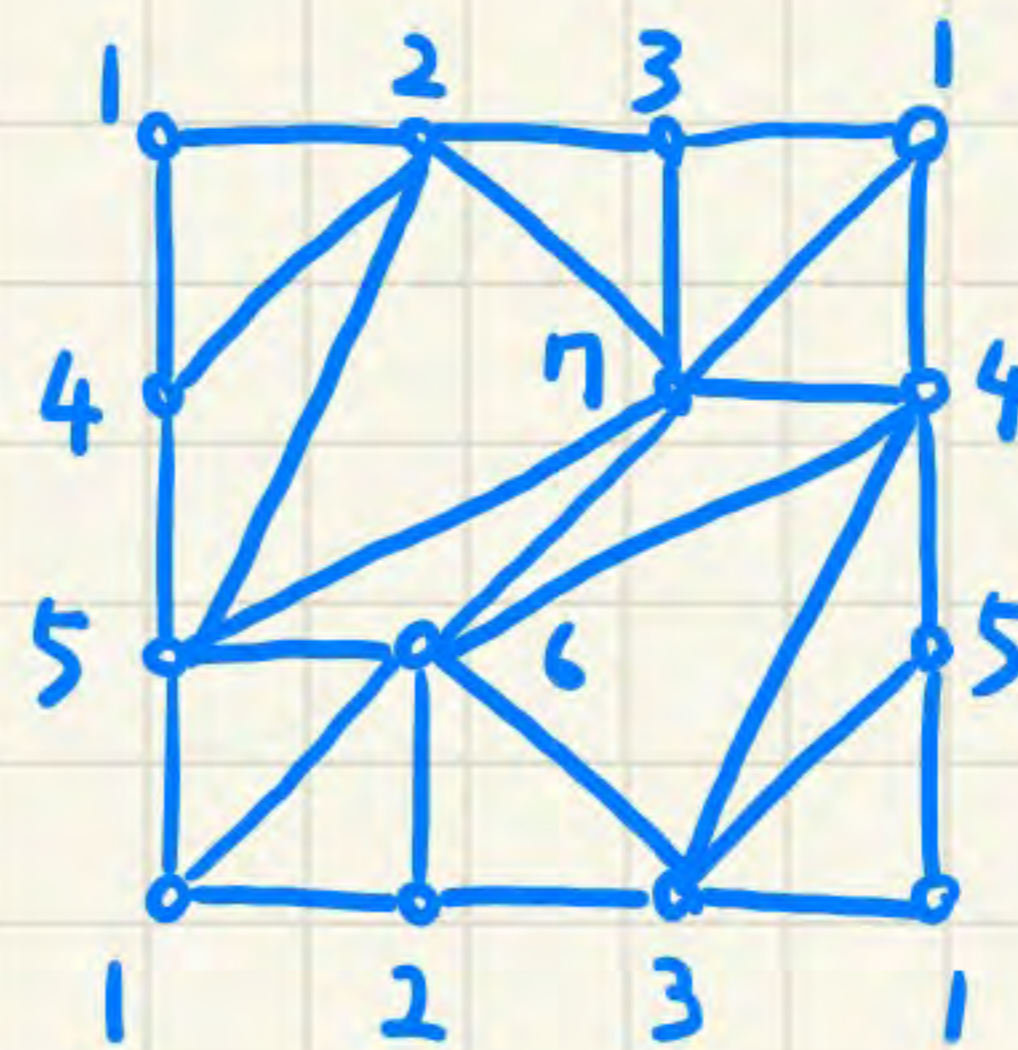
union of simplices

# Quick intro to vertex minimal triangulations

**Q** How many vertices do we need to triangulate a given mfd  $M$ ?



9 vertex triangulation  
of  $S^1 \times S^1$



7 vert. triangulation  
of  $S^1 \times S^1$

# Quick intro to vertex minimal triangulations

Q How many vertices do we need to triangulate a given mfd  $M$ ?

= Compute  $f_0^{\min}(M)$

Set

$f_i(\Delta) = \text{num. of } i\text{-dim faces of } \Delta$

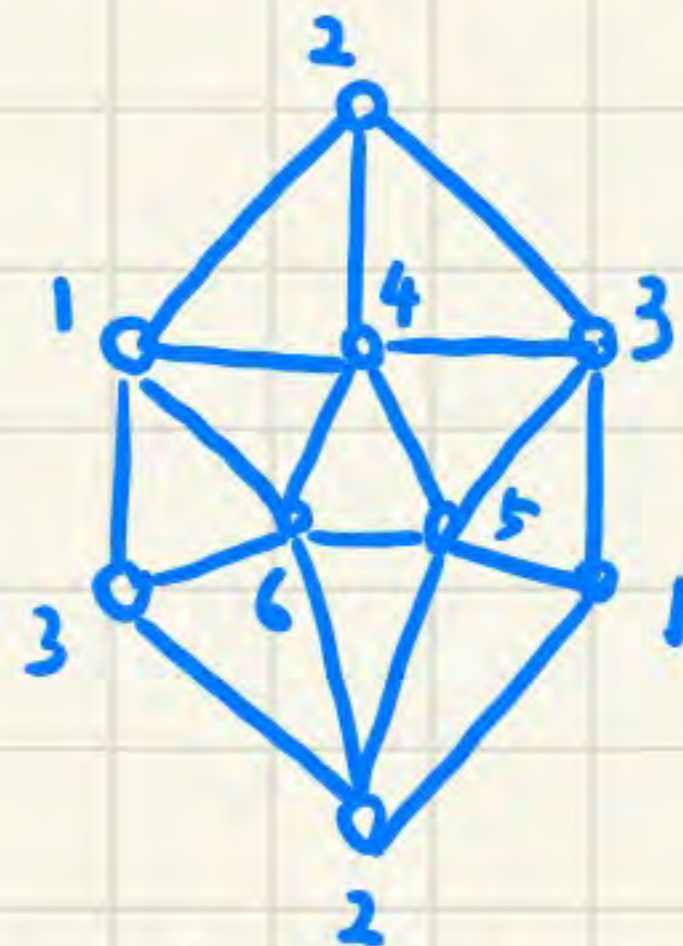
$f_0^{\min}(M) = \min \{ f_0(\Delta) \mid \Delta \text{ is a triangulation of } M \}$

ex

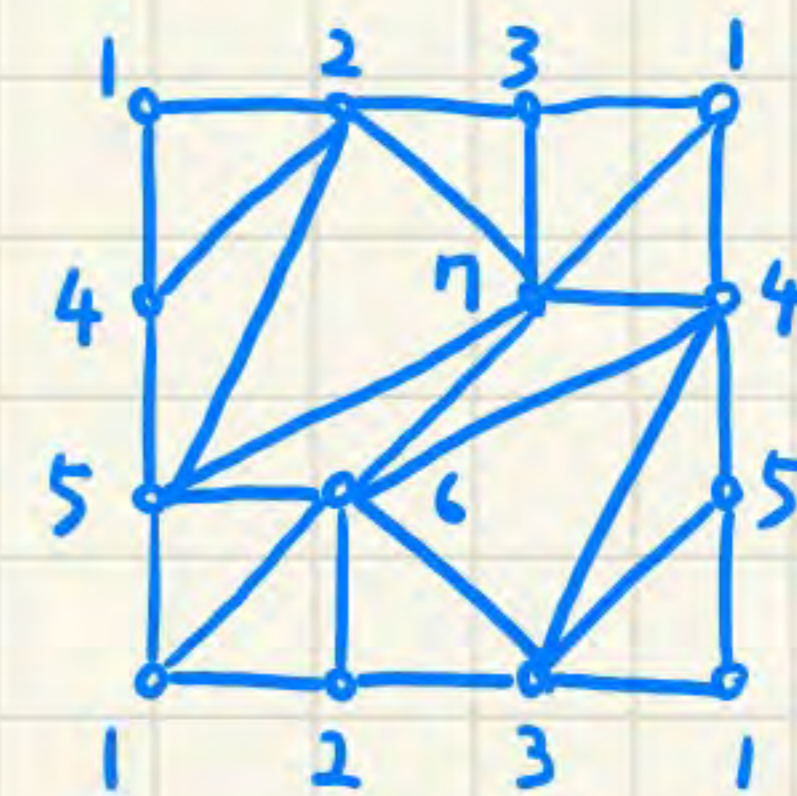
①  $f_0^{\min}(\text{circle}) = 4$

②  $f_0^{\min}(\mathbb{R}P^2) = 6$

③  $f_0^{\min}(\text{torus}) = 17$



6 vert. triang. of  $\mathbb{R}P^2$



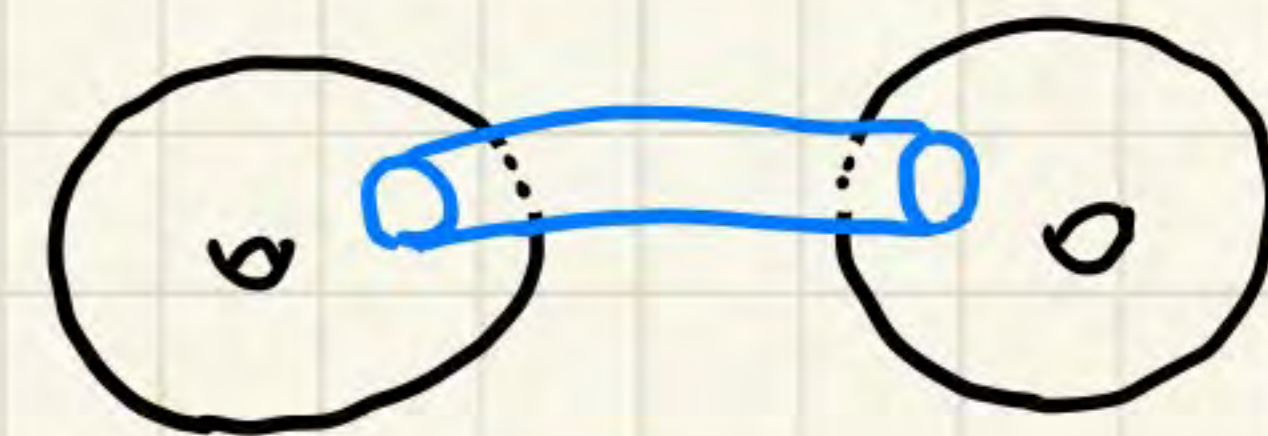
7 vert. triangulation of  $S^1 \times S^1$

# Classical result: surface case

$$\textcircled{1} S_g \stackrel{\text{def}}{=} (S^1 \times S^1)^{\#g} = \overbrace{(S^1 \times S^1) \# (S^1 \times S^1) \# \cdots \# (S^1 \times S^1)}^{g \text{ copies}}$$

$$\textcircled{2} N_g \stackrel{\text{def}}{=} (\mathbb{R}P^2)^{\#g}$$

# means connected sum



$$(S^1 \times S^1) \# (S^1 \times S^1)$$

Theorem (Ringel 1955, Jungerman-Ringel 1980))

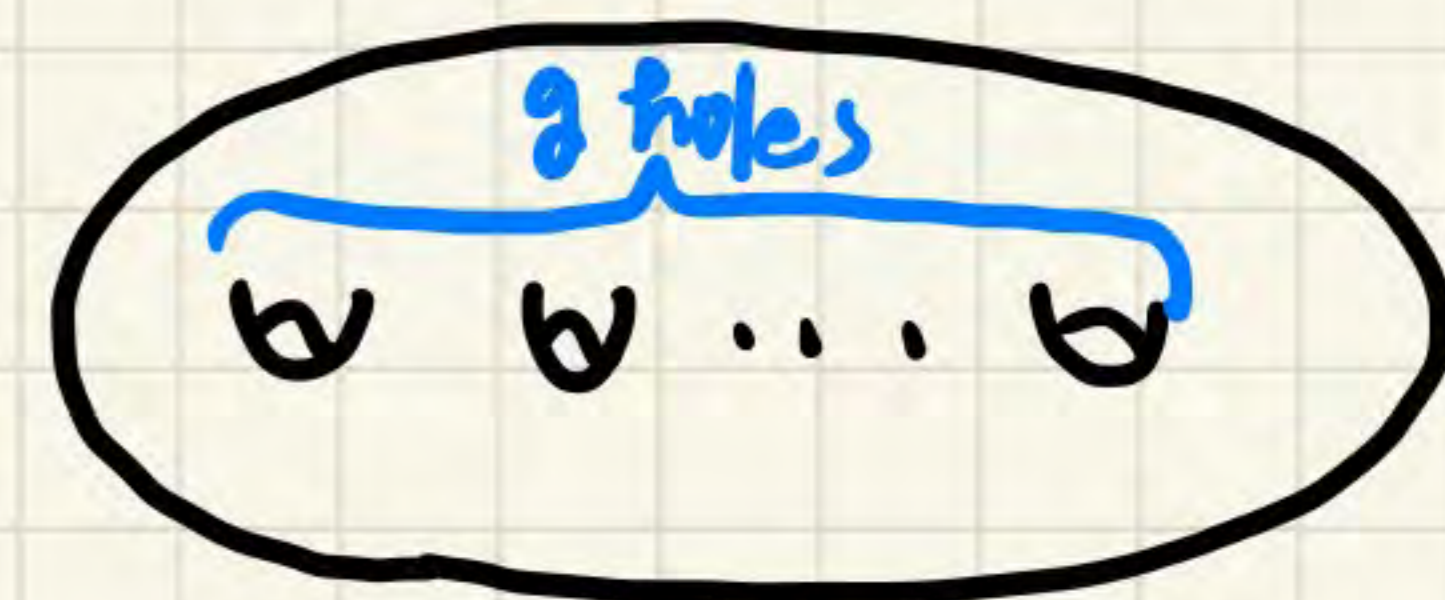
If  $M$  is a closed surface with  $M \neq S_2, N_2, N_3$ , then

$$f_0^{\min}(M) = \min \left\{ f_0 \mid \binom{f_0 - 3}{2} \geq 3(2 - \chi(M)) \right\}$$

ex

$$f_0^{\min} \left( \text{torus with 4 holes} \right) = 11$$

$$\uparrow \\ \chi(M) = -6$$



$$S_g$$

## Classical result: surface case

Theorem (Ringel 1955, Jungerman-Ringel 1980))

If  $M$  is a closed surface with  $M \neq S_2, N_2, N_3$ , then

$$f_0^{\min}(M) = \min \left\{ f_0 \mid \binom{f_0 - 3}{2} \geq 3(2 - \chi(M)) \right\}$$

Heawood inequality

Proving similar result in higher dim is hard even if  $M$  looks very simple.

### Two difficulties

- ① Lower bound of  $f_0^{\min}(M)$ ?  
Generalization of Heawood inequality?
- ② Constructing an actual triangulation is hard.

### Known

$$f_0^{\min}(S^1 \times S^d) = \begin{matrix} 2d+5 \text{ or} \\ 2d+6 \end{matrix}$$

$$f_0^{\min}(S^2 \times S^2) = 11$$

$$f_0^{\min}(S^2 \times S^3) = 12$$

$$f_0^{\min}(S^3 \times S^3) = 13$$

$$f_0^{\min}(S^2 \times S^4) = ?$$

$$f_0^{\min}(S^1 \times S^1 \times S^1) = ?$$

$$f_0^{\min}(\mathbb{C}P^2) = 9$$

$$f_0^{\min}(\mathbb{R}P^3) = 11$$

$$f_0^{\min}(\mathbb{R}P^4) = 16$$

$$f_0^{\min}(\mathbb{R}P^5) = ?$$

# Recent progress: Generalization of Heawood inequality

$r=2, \frac{d}{2}$   
↓

2009  
↓

general

Theorem (Novik-Swartz, Adiprasito (Conjectured by Kühnel))

If  $\Delta$  is a triangulation of a closed  $(d-1)$ -mfd then

$$\binom{f_0(\Delta) - d - 2 + r}{r} \geq \binom{d+1}{r} \beta_{r-1}(\Delta) \quad (r < \frac{d-1}{2})$$

$$\binom{f_0(\Delta) - d - 1 + r}{r+1} \geq \binom{d}{r} \beta_{r-1}(\Delta) \quad (r = \frac{d-1}{2})$$

old

$$f_0^{\min}((S^1 \times S^1) \# \dots \# (S^1 \times S^1))$$



new

$$f_0^{\min}((S^1 \times S^d) \# \dots \# (S^1 \times S^d))$$

$\beta_i(-)$ :  $i$ th Betti number

## Applications

$$f_0^{\min}((S^3 \times S^1)^{\#143}) = 171$$

$$f_0^{\min}((S^3 \times S^1)^{\#342}) = 101$$

$$f_0^{\min}((S^2 \times S^1)^{\#99}) = 49$$

$$f_0^{\min}((S^2 \times S^1)^{\#209}) = 69$$

$$f_0^{\min}((S^2 \times S^1)^{\#546}) = 109$$

(by Burton-Datta-Singh-Spreer)



# Quick intro on Stanley-Reisner ring

Stanley-Reisner rings of polytopes & spheres

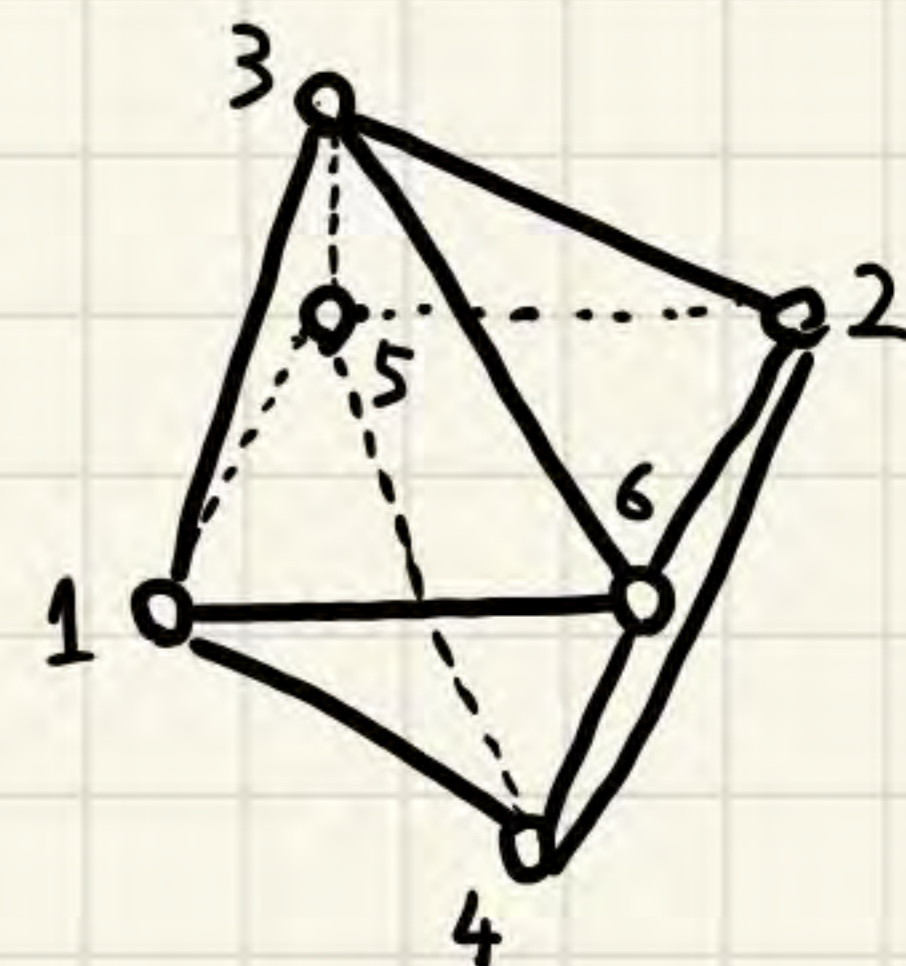
# Stanley-Reisner ring

- ①  $\Delta$ : simplicial complex on  $[n] = \{1, 2, \dots, n\}$
- ①  $S = \mathbb{R}[x_1, \dots, x_n]$  ( $\deg x_i = 1$ )
- ①  $\mathbb{R}[\Delta] = S/I_\Delta$ : Stanley-Reisner ring (SR ring)

$$I_\Delta = \left( x^{\bar{F}} = \prod_{i \in \bar{F}} x_i \mid \bar{F} \text{ is a (minimal) non-face of } \Delta \right)$$

I will mainly discuss

- ① (boundary complex of) simplicial  $d$ -polytope
- ① Simplicial  $(d-1)$ -sphere = triangulation of a  $(d-1)$ -sphere



minimal non-face are

$$\{1, 2\}, \{3, 4\}, \{5, 6\}$$

so

$$I_\Delta = (x_1 x_2, x_3 x_4, x_5 x_6)$$

# Why Stanley-Reisner rings are useful?

Nice comb. property of  $\Delta$   $\leftarrow$  Nice alg. property of  $\mathbb{R}[\Delta]$

## Combinatorial side

① Dehn-Sommerville equation

② Lower bound theorem

## Algebraic side

Poincarè duality of  
(Artinian reduction) of  $\mathbb{R}[\Delta]$

Hard Lefschetz Property  
of  $\mathbb{R}[\Delta]$

# ex ① Dehn-Sommerville equation

①  $P$ : simplicial  $d$ -polytope

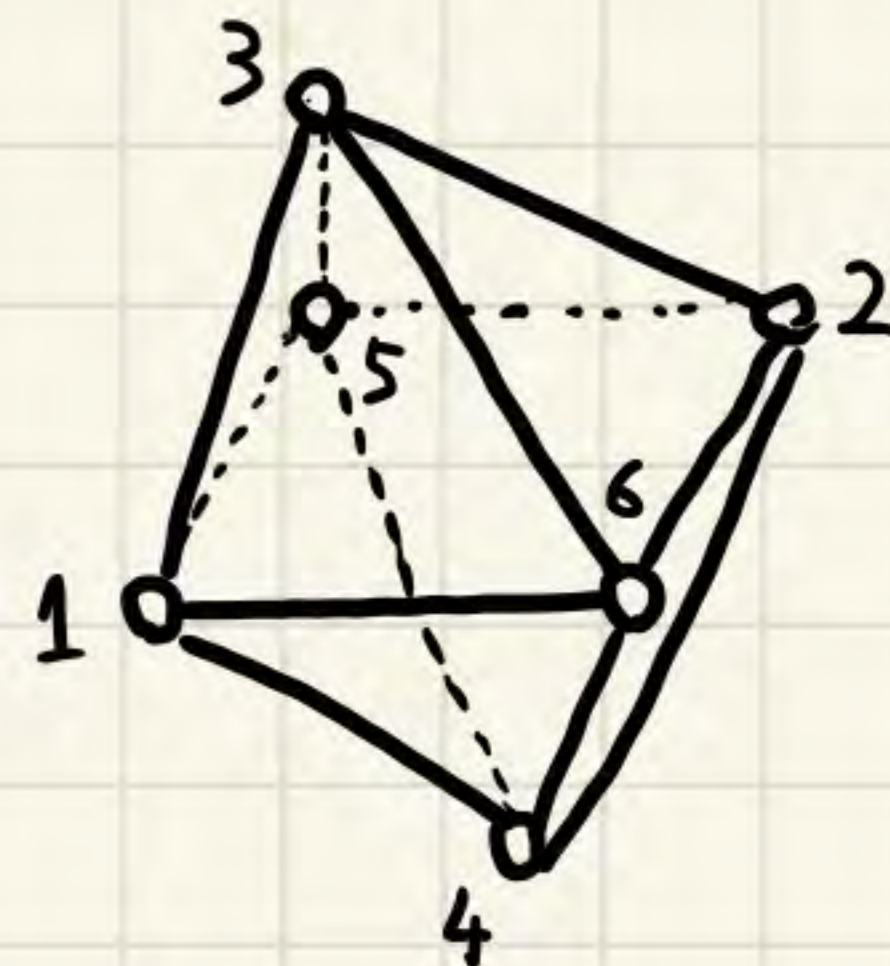
Theorem (Dehn-Sommerville equation)

$$\sum_{j=k}^d (-1)^j \binom{j}{k} f_{j-1}(P) = (-1)^d f_{k-1}(P) \quad (k = 0, 1, \dots, d)$$

$$f_{-1} = 1$$

Fact: Dehn-Sommerville equation implies

$f_0(P), f_1(P), \dots, f_{\lfloor \frac{d-1}{2} \rfloor}(P)$  determine all  $f_i(P)$



$$f_0 = 6$$

$$f_0 - f_1 + f_2 = 2$$

$$3f_2 = 2f_1$$

$$f_1 = 3f_0 - 6$$

$$f_2 = 2f_0 - 4$$

# EX ① Dehn-Sommerville equation

① Define  $h_0(\Delta), h_1(\Delta), \dots, h_d(\Delta)$  by

$$h_k(\Delta) \stackrel{\text{def}}{=} \sum_{\lambda=0}^k (-1)^{k-\lambda} \binom{d-\lambda}{d-k} f_{\lambda}(\Delta)$$

②  $P$ : Simplicial  $d$ -polytope

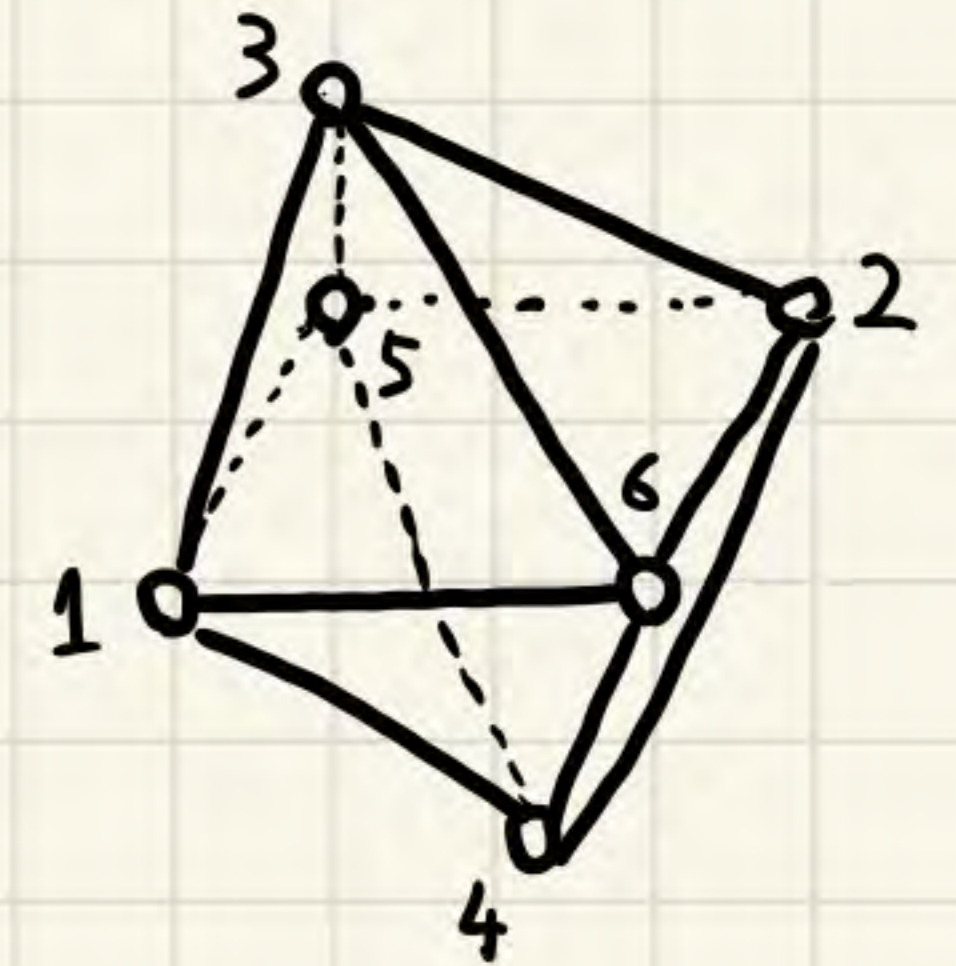
Theorem (Dehn-Sommerville equation,  $h$ -version)

$$h_i(P) = h_{d-i}(P) \text{ for } i = 0, 1, \dots, d.$$



This can be explained algebraically

Rem  $d = \dim \Delta + 1$



$$h_0 = 1$$

$$h_1 = 3$$

$$h_2 = 3$$

$$h_3 = 1$$

Symmetric

## Some commutative algebra: PDA

• A graded  $\mathbb{R}$ -algebra  $R = R_0 \oplus R_1 \oplus \dots \oplus R_d$  is a **Poincarè duality algebra (PDA)** of socle degree  $d$

$\stackrel{\text{def}}{\Leftrightarrow} R_d \cong \mathbb{R}$  and multiplication map

$$\begin{array}{ccc} R_i \times R_{d-i} & \longrightarrow & R_d \cong \mathbb{R} \\ \downarrow & & \downarrow \\ (a, b) & \longmapsto & a \cdot b \end{array}$$

is non-degenerated for all  $i$

Rem

If  $R$  is a PDA of deg  $d$  with  $\text{Hilb}(R, t) = \sum_{k=0}^d h_k t^k$

$\Rightarrow h_i = h_{d-i}$  for all  $i$

$$\begin{aligned} \text{Hilb}(R, t) &= \sum_{k \geq 0} (\dim_{\mathbb{R}} R_k) t^k \end{aligned}$$

Dehn-Sommerville eq

$$h_i(P) = h_{d-i}(P)$$

## Some commutative algebra: Artinian reduction

**Fact** If  $R = S/I$  is a graded  $\mathbb{R}$ -algebra of Krull dim  $d$ ,

$\exists$  linear forms  $\theta = \theta_1, \dots, \theta_d$  s.t.

$R/\theta R = S/I_{+\theta}$  has Krull dim 0 ( $\Leftrightarrow \dim_{\mathbb{R}} R/\theta R < \infty$ )

$\theta$  is called a **linear system of parameters (l.s.o.p)**

$R/\theta R$  is called an **Artinian reduction** of  $R$

①  $R$  is **Cohen-Macaulay (CM)**

$\Leftrightarrow \forall \theta = \theta_1, \dots, \theta_d$  : l.s.o.p,  $\theta_i$  is a NZD of  $R/(\theta_1, \dots, \theta_{i-1})R$

②  $R$  is **Gorenstein**

$\Leftrightarrow R$  is CM &  $R/\theta R$  is a PDA ( $\forall \theta$  : l.s.o.p)

# EX ① Dehn-Sommerville equation

①  $P$ : Simplicial  $d$ -polytope,  $R = \mathbb{R}[\Delta]$

Theorem (Dehn-Sommerville equation,  $h$ -version)

$$h_i(P) = h_{d-i}(P) \text{ for } i = 0, 1, \dots, d.$$

Theorem (Stanley 1975)

If  $\Delta$  is CM, then  $\text{Hilb}(R/\Theta R, t) = h_0(\Delta) + h_1(\Delta)t + \dots + h_d(\Delta)t^d$ .

Theorem (Reisner 1976)

$R = \mathbb{R}[P]$  is Gorenstein, in particular,  $R/\Theta R$  is a PDA.

These imply  
Dehn-Sommerville eq



## ex② UBT & LBT

⑩  $P$ : Simplicial  $d$ -polytope with  $n$  vertices

Upper Bound Theorem (McMullen 1970)

$$f_i(P) \leq f_i(C(n, d)) \text{ for all } i.$$

Lower Bound Theorem (Barnette 1973)

$$f_i(P) \geq f_i(S(n, d)) \text{ for all } i.$$

### $h$ -versions

Upper Bound Theorem ( $h$ -version)

$$h_i(P) \leq \binom{n-d-1+i}{i} \text{ for all } i \leq \frac{d}{2}.$$

Lower Bound Theorem ( $h$ -version)

$$h_2(P) \geq h_1(P).$$

$C(n, d)$   
= cyclic  $d$ -polytope  
with  $n$  vertices

$S(n, d)$   
= stacked  $d$ -polytope  
with  $n$  vertices

$d \geq 4$

# Hard Lefschetz property

- ①  $R = \bigoplus_{k=0}^d R_k$  : PDA of socle degree  $d$
- ②  $R$  satisfies **Hard Lefschetz property (HL)**

**def**  $\Leftrightarrow \exists \ell \in R_1$  s.t.  
 $\times \ell^{d-2i} : R_i \rightarrow R_{d-i}$   
is a bijection for all  $i \leq \frac{d}{2}$

**Rem**  $R$  : PDA with  $\text{Hilb}(R, t) = h_0 + h_1 t + \dots + h_d t^d$ .

$R$  has HL  $\Rightarrow \text{Hilb}(R/\Theta R, t) = h_0 + (h_1 - h_0)t + (h_2 - h_1)t^2 + \dots$

**Rem**  $\ell \in R_1$  is called  
a **Lefschetz element**

This in particular says  
 $h_0, h_1, \dots, h_d$  is **unimodal**

**Theorem (Stanley 1980)**

Let  $R = \mathbb{R}[P]$ .  $R/\Theta R$  satisfies HL for a certain l.s.o.p.  $\Theta$ .

## ex② UBT & LBT

⑩  $P$ : Simplicial  $d$ -polytope with  $n$  vertices

Theorem (UBT & LBT)

$$f_i(S(n, d)) \leq f_i(P) \leq f_i(C(n, d)) \text{ for all } i.$$

Theorem (UBT (g-version) & generalized LBT)

$$0 \leq h_i(P) - h_{i-1}(P) \leq \binom{n-d+i-2}{i} \text{ for all } i \leq \frac{d}{2}.$$

Quick Proof Let  $R = \mathbb{R}[P] = S/I_P$ . Then

$$0 \leq \dim_{\mathbb{R}} (R/(\theta, \ell)R)_i \leq \dim_{\mathbb{R}} (S/(\theta, \ell)S)_i$$

$\parallel$   $\parallel$   
 $h_i - h_{i-1}$   $\binom{n-d+i-2}{i}$

UBT (h-version)

$$h_i(P) \leq \binom{n-d-1+i}{i}$$

LBT (h-version)

$$h_1(P) \leq h_2(P)$$

$\theta = \theta_1, \dots, \theta_d$ : Isop

$\ell$ : Lefschetz element

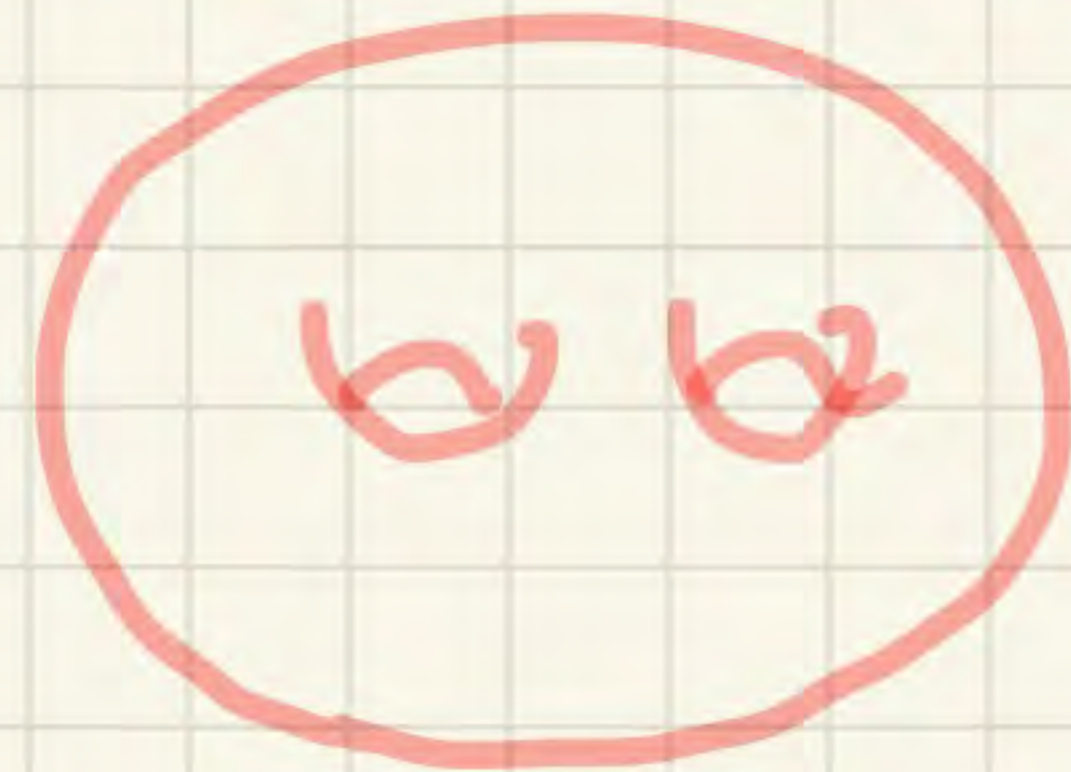
$$S = \mathbb{R}[x_1, \dots, x_n]$$

# Recent breakthrough: Hard Lefschetz for spheres

## Theorem (Adiprasito, Papadakis-Petrotou)

*Let  $\Delta$  be a simplicial sphere and  $R = \mathbb{R}[\Delta]$ .  
 $R/\Theta R$  satisfies HL for a generic l.s.o.p.  $\Theta$ .*

# SR rings of triangulated mfd's



# Klee's Dehn-Sommerville equation

①  $\Delta$  : triangulation of a connected closed  $(d-1)$ -mfd

Theorem (Klee 1964)

$$h_{d-i}(\Delta) = h_i(\Delta) + (-1)^{d-1} \binom{d}{i} (\chi(\Delta) - \chi(S^{d-1}))$$

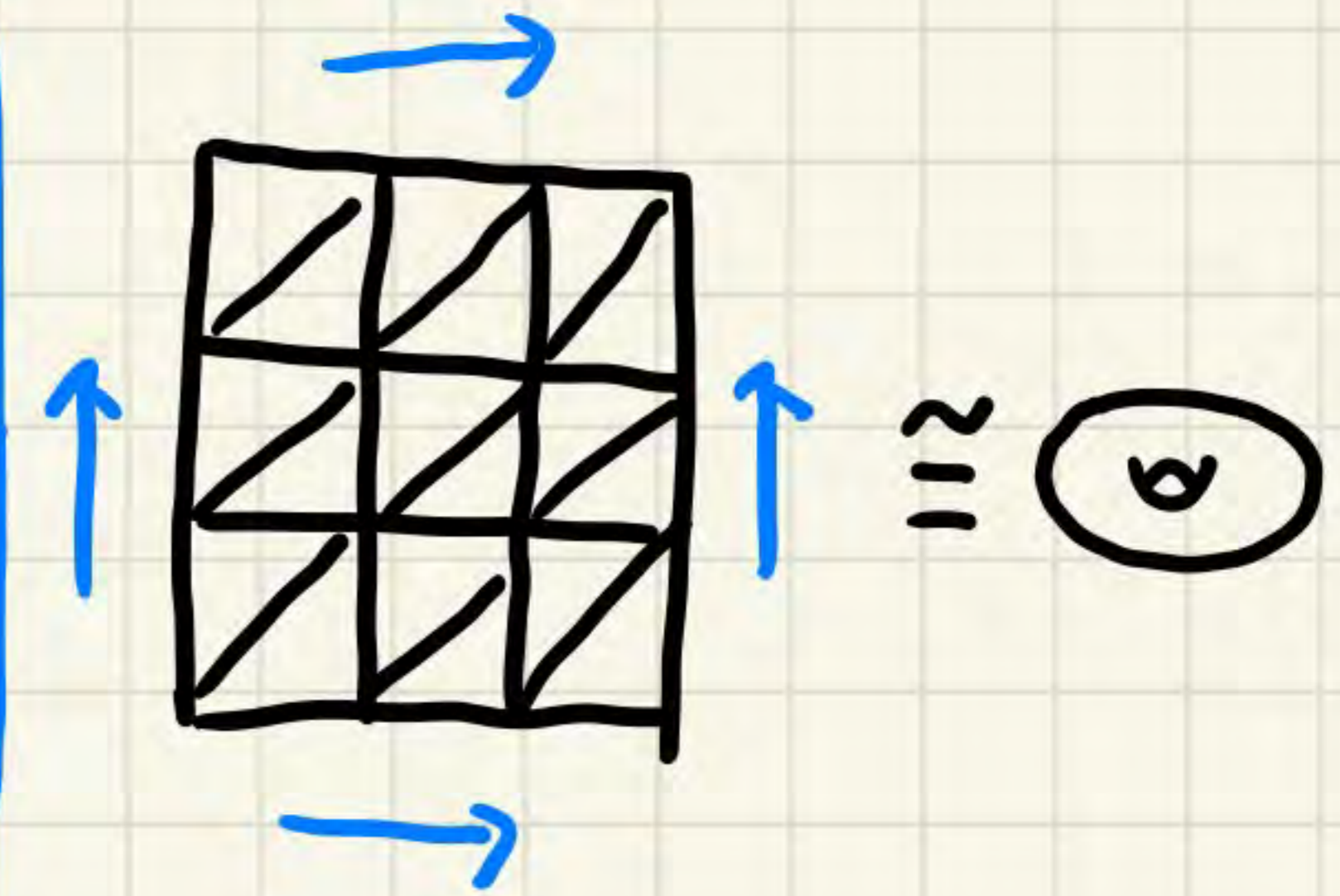
ⓐ Can this be explained using PDA?

difficulty

- ①  $\mathbb{R}[\Delta]$  is not CM
- ①  $\mathbb{R}[\Delta]/(l.s.o.p)$  is not a PDA.

good point

- ①  $\mathbb{R}[\Delta]$  is a **Buchsbaum ring**
- ① Comb. formula of Hilb  $(\mathbb{R}[\Delta]/(l.s.o.p), t)$  when  $\Delta$  is Buchsbaum (Schenzel 1981)



For Stanley-Reisner rings,

**Buchsbaum** = locally CM  
(every link is CM)

Schenzel's formula

$$\dim_{\mathbb{R}} \left( \mathbb{R}[\Delta]/(l.s.o.p) \right)_i \\ = h_i(\Delta) - \binom{d}{i} \sum_{b=2}^{i-1} (-1)^{i-b} \beta_{b-1}(\Delta)$$

# Goto's $\bar{Z}$ -ideal

Def (Goto 1983)  $R = S/I$ : Buchsbaum graded  $R$ -alg

$\theta = \theta_1, \dots, \theta_d$ : (linear) s.o.p. for  $R$

$$\bar{Z}(\theta) \stackrel{\text{def}}{=} \sum_{\lambda=1}^d (\theta_1, \dots, \hat{\theta}_\lambda, \dots, \theta_d) : \theta_\lambda$$

Point For a Buchsbaum algebra  $R$ ,

$R/\bar{Z}(\theta)$  usually behave nicer than  $R/\theta R$

Note  $\bar{Z}(\theta)$  is the kernel of

$$R/(\theta_1, \dots, \theta_d)R \xrightarrow{\times \theta_1 \cdots \theta_d} R/(\theta_1^2, \dots, \theta_d^2)R \xrightarrow{\times \theta_1 \cdots \theta_d} R/(\theta_1^3, \dots, \theta_d^3)R \rightarrow \dots$$

## Rem

①  $I:f = \{g \in R \mid f \cdot g \in I\}$

②  $\bar{Z}(\theta) \supset (\theta)$  ( $d \geq 2$ )

③  $R$  is CM

$\Leftrightarrow \bar{Z}(\theta) = (\theta)$

# Klee's Dehn-Sommerville equation

①  $\Delta$ : triangulation of a connected closed  $(d-1)$ -mfd  $M$

Theorem (Novik-Swartz 2009)

$R = \mathbb{R}[\Delta]$ ,  $\Theta$ : l.s.o.p. of  $R$ .

If  $M$  is orientable, then  $R/\Sigma(\Theta)$  is a PDA.

\* Recall we know Hilb fc of  $R/\Theta R$  (Schenzel's formula)

Theorem (Novik-Swartz 2009)

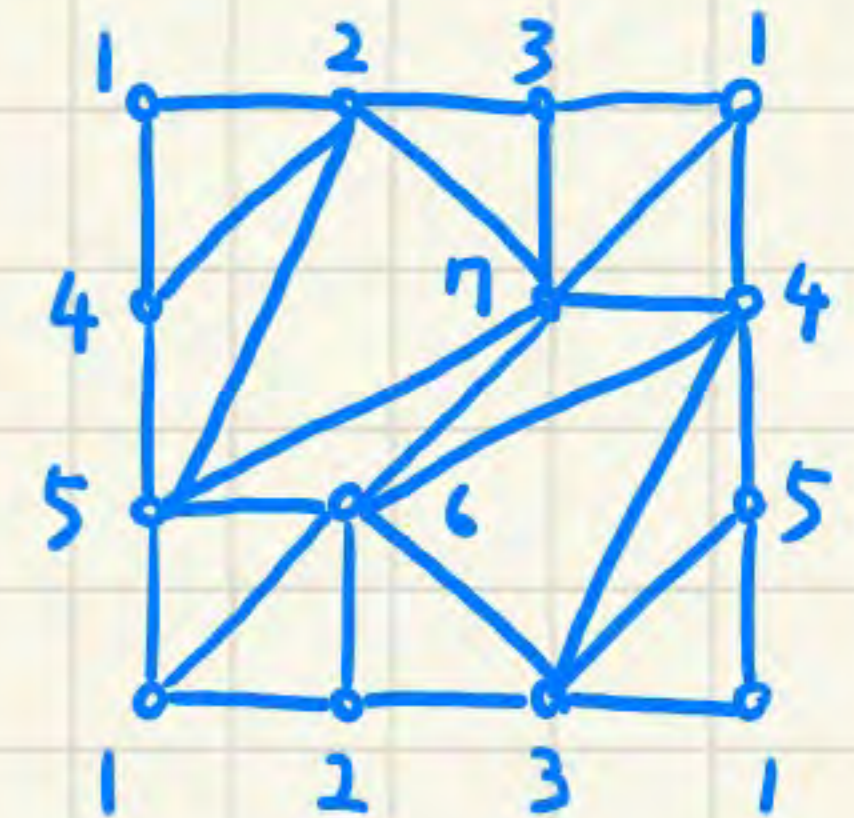
If  $R = \mathbb{R}[\Delta]$  is Buchsbaum and  $\Theta$  is an l.s.o.p. of  $R$ , then

$$\dim_{\mathbb{R}} (\Sigma(\Theta)/\Theta R)_i = \binom{d}{i} \beta_{i-1}(\Delta)$$

Rem These imply Klee's Dehn-Sommerville eq.

Rem Goto (1983) gave a result for general Buchsbaum rings

ex



①  $(h_0, h_1, h_2, h_3)$   
 $= (1, 4, 10, -1)$

②  $\text{Hilb}(\mathbb{R}[\Delta]/\Sigma(\Theta), t)$   
 $= \underline{1 + 4t + 4t^2 + t^3}$

③  $\text{Hilb}(\mathbb{R}[\Delta]/(\text{Isop}), t)$   
 $= 1 + 4t + 7t^2 + t^3$



## Hard Lefschetz property (Recent result)

⊙  $\Delta$ : triangulation of a connected orientable closed mfd,  $R = \mathbb{R}[\Delta]$

Theorem (Adiprasito–Papadakis–Petrotou)

*The algebra  $R/\Sigma(\Theta)$  satisfies HL for a generic l.s.o.p.  $\Theta$ .*

# application to $f_0^{\min}(M)$ : general mfd

⊙  $\Delta$ : triangulation of a connected  $(d-1)$ -mfd (not necessary closed)

$$\text{Claim } \binom{f_0(\Delta) - d + i - 1}{i} \geq \binom{d}{i} \beta_{i-1}(\Delta)$$

Proof Let  $R = \mathbb{R}[\Delta]$  and  $\theta$ : Isop of  $R$ .

$$\dim_{\mathbb{R}} \left( \frac{\Sigma(\theta)}{\theta R} \right)_i \leq \dim_{\mathbb{R}} \left( \frac{R}{\theta R} \right)_i \leq \dim_{\mathbb{R}} \left( \frac{S}{\theta R} \right)_i$$

$\parallel$   $\parallel$

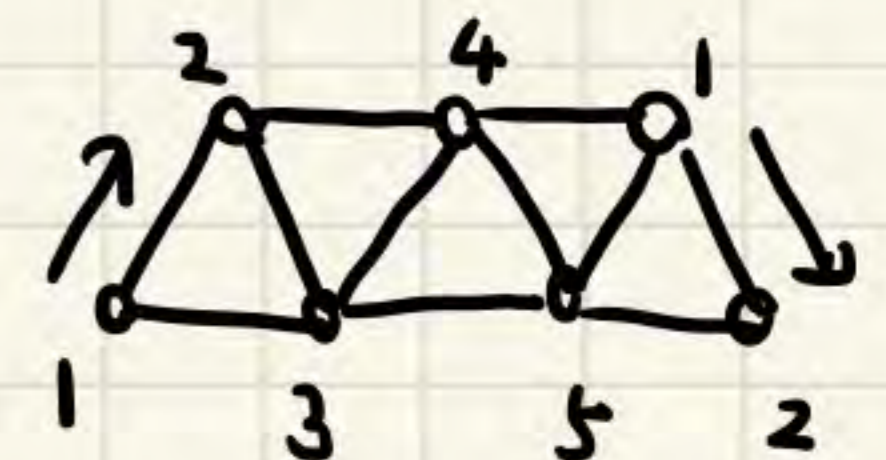
$$\binom{d}{i} \beta_{i-1} \qquad \qquad \qquad \binom{f_0 - d + i - 1}{i}$$

## Example

⊙ If  $d=3, \lambda=2, \beta_1=1$ ,  
 $\binom{f_0 - 2}{2} \geq 3 \Leftrightarrow f_0 \geq 5$



$f_0^{\min}(\text{Mobius band}) = 5$



⊙ Applying  $d=4, \lambda=2, \beta_1=1$ ,

$f_0^{\min}(D^2 \times S^1) = 17$   
solid torus

# Application to $f_0^{\min}(M)$ for closed mfd's

① In the previous statement we compare

$$\Sigma(\Theta)/\Theta R, \quad R/\Theta R, \quad S/\Theta S$$

② If we want to say something special for closed mfd, we need something similar for  $(\Theta, \ell)R$

ℓ is a Lefschetz element

Theorem (Nevo-M 2013 (+ Adiprasito's HL))

$\Delta$ : triangulation of connected orientable mfd,  $R = \mathbb{R}[\Delta]$ .

There is an ideal  $J$  of  $R/(\Theta, \ell)R$  such that

$$\dim_{\mathbb{R}}(J) = \binom{d+1}{i} \beta_{i-1}(\Delta) \quad (i < d/2)$$

idea

$$\Theta R \rightarrow \Sigma(\Theta)$$

$$(\Theta, \ell)R \rightarrow \textcircled{?} = J$$

# Application to $f_0^{\min}(M)$ for closed mfd's

Theorem (Novik-Swartz, Adiprasito (Conjectured by Kühnel))

If  $\Delta$  is a triangulation of a closed  $(d-1)$ -mfd then

$$\binom{f_0(\Delta) - d - 2 + r}{r} \geq \binom{d+1}{r} \beta_{r-1}(\Delta) \quad (r < \frac{d-1}{2})$$

$$\binom{f_0(\Delta) - d - 1 + r}{r+1} \geq \binom{d}{r} \beta_{r-1}(\Delta) \quad (r = \frac{d-1}{2})$$

Proof of the first part.  $R = \mathbb{R}[\Delta]$ ,  $\theta, \varrho$  : generic

$$\dim_{\mathbb{R}} \mathcal{J} \leq \dim_{\mathbb{R}} R / (\theta, \varrho)R \leq \dim_{\mathbb{R}} \mathcal{S} / (\theta, \varrho)\mathcal{S}$$

$$\parallel \\ \binom{d+1}{r}$$

$$\parallel \\ \binom{f_0 - d - 2 + r}{r}$$

↔ analogous to  
LBT & UBT!

## Further Problems

① More results on  $f_0^{\min}(M)$ ?

Conj:  $f_0^{\min}(S^1 \times S^1 \times S^1) \geq 15$ .

① Refine upper bounds & lower bounds of  $f_i(\Delta)$ ?

② What is  $\max\{f_2(\Delta) \mid \Delta \text{ is an } n \text{ vertex triangulation of } S^1 \times S^1\}$ ?

① Currently we only consider  $\beta_i(\Delta)$ , other topological invariants?

Thm (Novik-M 2017) If  $\Delta$  is a conn. closed  $(d-1)$ -mfd  
$$h_2(\Delta) - h_1(\Delta) \geq \binom{d+1}{2} \times (\text{minimal num. of gens of } \pi_1(\Delta))$$

① Does  $\mathbb{R}[\Delta]/\mathbb{Z}(\theta)$  relate to geometric object?