

# Monomial expansions for $q$ -Whittaker and modified Hall-Littlewood polynomials

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**Abstract.** We consider the monomial expansion of the  $q$ -Whittaker polynomials given by the fermionic formula and via the *inv* and *quinv* statistics. We construct bijections between the parametrizing sets of these three models which preserve the  $x$ - and  $q$ -weights, and which are compatible with natural projection and branching maps. We apply this to the limit construction of local Weyl modules and obtain a new character formula for the basic representation of  $\widehat{\mathfrak{sl}}_n$ . Finally, we indicate how our main results generalize to the modified Hall-Littlewood case.

**Keywords:**  $q$ -Whittaker polynomial, modified Hall-Littlewood polynomial, local Weyl modules

## 1 Introduction

Let  $\lambda$  be a partition. For  $n \geq 1$ , let  $X_n$  denote the tuple of indeterminates  $x_1, x_2, \dots, x_n$ . The  $q$ -Whittaker polynomial  $W_\lambda(X_n; q)$  and the modified Hall-Littlewood polynomial  $Q'_\lambda(X_n; q)$  are well-studied specializations of the modified Macdonald polynomial. Several different monomial expansions for these polynomials are known. In this article, our focus will be on three of these: the so-called *fermionic formulas* [13, (0.2), (0.3)] and the *inv*- and *quinv*-expansions arising from specializations of the formulas of Haglund-Haiman-Loehr [9] and Ayyer-Mandelshtam-Martin [1].

We recall that the Schur expansion of the  $W_\lambda(X_n; q)$  (resp.  $Q'_\lambda(X_n; q)$ ) has certain  $q$ -Kostka polynomials as coefficients [13]. In turn, this implies yet another monomial expansion, with the underlying indexing set involving pairs of semistandard Young tableaux of conjugate (resp. equal) shapes. This relates to the *inv*-expansion via the RSK correspondence [9].

The fermionic formula, expressed as a sum of products of  $q$ -binomials, is seemingly of a very different nature from all the other monomial expansions, and should probably be viewed as a kind of compression of these formulas. Recently, Garbali-Wheeler [8]

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obtained a general formula of the fermionic kind for the full modified Macdonald polynomial  $\tilde{H}_\lambda(X_n; q, t)$ .

The purpose of this article is to bijectively reconcile the fermionic formula with both the *inv*- and *quinv*-expansions. We construct bijections between the underlying sets of these three models which (i) preserve the  $x$ - and  $q$ -weights, and (ii) are compatible with natural projection and branching maps.

As a corollary, we obtain bijections between the *inv*- and *quinv*-models in the  $q$ -Whittaker and modified Hall-Littlewood specializations, partially answering a question of [1]. We find that the *inv*- and *quinv*-models are related by the simple *box-complementation* map of the fermionic model and that  $inv + quinv$  is a constant on fibers of the natural projection. We also apply this to the limit construction for Weyl modules [7, 15] and obtain an apparently new character formula for the basic representation of the affine Lie algebra  $\widehat{\mathfrak{sl}}_n$ .

In this extended abstract, we describe the  $q$ -Whittaker polynomials in greater detail, contenting ourselves with brief remarks about the modified Hall-Littlewood case in §8 due to space limitations. Complete proofs will appear in [3].

## 2 Specializations of $\tilde{H}_\lambda(X_n; q, t)$

Given a partition  $\lambda = (\lambda_1 \geq \lambda_2 \geq \dots)$ , we will draw its Young diagram  $\text{dg}(\lambda)$  following the English convention, as a left-up justified array of boxes, with  $\lambda_i$  boxes in the  $i$ th row from the top. The boxes are called the cells of  $\text{dg}(\lambda)$ . We let  $|\lambda| := \sum_i \lambda_i$ . Fix  $n \geq 1$  and let  $\mathcal{F}(\lambda)$  denote the set of all maps (“fillings”)  $F : \text{dg}(\lambda) \rightarrow [n]$  where  $[n] = \{1, 2, \dots, n\}$ . If the values of  $F$  strictly increase (resp. weakly decrease) as we move down a column, we say  $F$  is a *column strict filling* (CSF) (resp. *weakly decreasing filling* (WDF)<sup>1</sup>), and denote the set of such fillings by  $\text{CSF}(\lambda)$  (resp.  $\text{WDF}(\lambda)$ ). The  $x$ -weight of a filling  $F$  is the monomial  $x^F := \prod_{c \in \text{dg}(\lambda)} x_{F(c)}$ .

We recall that the modified Macdonald polynomial  $\tilde{H}_\lambda(X_n; q, t)$  is a symmetric polynomial in the  $x_i$  with  $\mathbb{N}[q, t]$  coefficients. We expand this in powers of  $t$ ; our interest lies in the coefficients of the lowest and highest powers [2, (3.1)]:

$$\tilde{H}_\lambda(X_n; q, t) = \mathcal{H}_\lambda(X_n; q)t^0 + \dots + W_\lambda(X_n; q)t^{\eta(\lambda)} \quad (2.1)$$

where  $\eta(\lambda) = \sum_{j \geq 1} \binom{\lambda'_j}{2}$  where  $\lambda'_j$  denote the parts of the partition conjugate to  $\lambda$ . The  $W_\lambda(X_n; q)$  is the  $q$ -Whittaker polynomial. The  $q$ -reversal (or reciprocal) polynomial of  $\mathcal{H}_\lambda(X_n; q)$  coincides with the modified Hall-Littlewood polynomial  $Q'_{\lambda'}(X_n; q)$  where  $\lambda'$

<sup>1</sup>These latter ones may be easily transformed into the familiar *tabloids* by transposing rows and columns and replacing  $i \mapsto n - i + 1$

is the partition conjugate to  $\lambda$ , i.e.,  $q^{\eta(\lambda)}\mathcal{H}_\lambda(X_n; q^{-1}) = Q'_{\lambda'}(X_n; q)$ . These are further related to each other by  $\omega W_\lambda(X_n; q) = Q'_{\lambda'}(X_n; q)$  where  $\omega$  is the classical involution on the ring of symmetric polynomials.

Following Haglund-Haiman-Loehr [9] and Ayyer-Mandelshtam-Martin [1], there are statistics *inv*, *quinv* and *maj* on  $\mathcal{F}(\lambda)$  such that

$$\tilde{H}_\lambda(X_n; q, t) = \sum_{F \in \mathcal{F}(\lambda)} x^F q^{v(F)} t^{\text{maj}(F)} \quad (2.2)$$

where  $v \in \{\text{inv}, \text{quinv}\}$ . The next lemma follows directly from the definition of *maj* [9]:

**Lemma 1.** *Let  $F \in \mathcal{F}(\lambda)$ . Then (i)  $\text{maj}(F) = \eta(\lambda)$  iff  $F \in \text{CSF}(\lambda)$ , and (ii)  $\text{maj}(F) = 0$  iff  $F \in \text{WDF}(\lambda)$ .*

Putting together (2.1), (2.2) and Lemma 1, we obtain for  $v \in \{\text{inv}, \text{quinv}\}$ :

$$W_\lambda(X_n; q) = \sum_{F \in \text{CSF}(\lambda)} x^F q^{v(F)} \quad (2.3)$$

$$Q'_{\lambda'}(X_n; q) = \sum_{F \in \text{WDF}(\lambda)} x^F q^{\eta(\lambda') - v(F)} \quad (2.4)$$

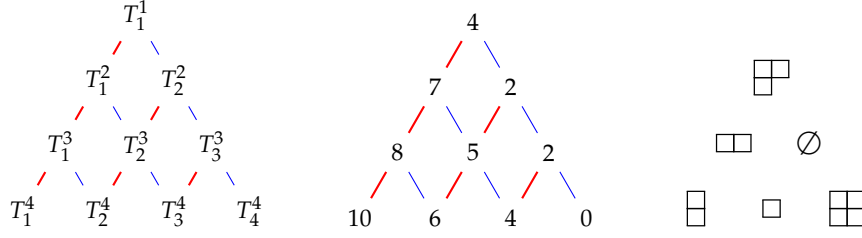
These are in fact symmetric in the  $x$ -variables and can be viewed as expansions in terms of the monomial symmetric functions in  $x_1, x_2, \dots, x_n$ .

### 3 Fermionic formula for $W_\lambda(X_n; q)$

Let  $n \geq 1$  and  $\lambda = (\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n \geq 0)$  be a partition with at most  $n$  nonzero parts. Let  $\text{GT}(\lambda)$  denote the set of integral Gelfand-Tsetlin (GT) patterns with bounding row  $\lambda$ . Given  $T \in \text{GT}(\lambda)$ , we denote its entries by  $T_i^j$  for  $1 \leq i \leq j \leq n$  as in Figure 1. It will also be convenient to define  $T_{j+1}^j = 0$  for all  $1 \leq j \leq n$ . We define the North-East and South-East differences of  $T$  by:  $\text{NE}_{ij}(T) = T_i^{j+1} - T_i^j$  and  $\text{SE}_{ij}(T) = T_i^j - T_{i+1}^j$  for  $1 \leq i \leq (j+1) \leq n$ . The GT inequalities ensure that these differences are non-negative.

We will interchangeably think of a GT pattern as a semistandard Young tableau (SSYT). In this perspective,  $(T_1^1, T_2^1, \dots, T_j^1)$  is the partition formed by the cells of the tableau which contain entries  $\leq j$ . It follows that  $\text{NE}_{ij}(T)$  is the number of cells in the  $i^{\text{th}}$  row of the tableau which contain the entry  $j+1$ . We let  $x^T$  denote the  $x$ -weight of the corresponding tableau. The following fermionic formula for the  $q$ -Whittaker polynomial appears in [10, 13] and follows readily from Macdonald's more general formula [14, Chap VI, (7.13)']:

$$W_\lambda(X_n; q) = \sum_{T \in \text{GT}(\lambda)} x^T \prod_{1 \leq i \leq j < n} \left[ \begin{array}{c} \text{NE}_{ij}(T) + \text{SE}_{ij}(T) \\ \text{NE}_{ij}(T) \end{array} \right]_q \quad (3.1)$$



**Figure 1:** A GT pattern for  $n = 4$ . The NE and SE differences are those along the red and blue lines. On the right is a partition overlay compatible with this GT pattern.

Following [12], we define  $\text{wt}_q(T) = \prod_{1 \leq i \leq j < n} \begin{bmatrix} NE_{ij}(T) + SE_{ij}(T) \\ NE_{ij}(T) \end{bmatrix}_q$ .

### 3.1 Partition overlaid patterns

We recall that the  $q$ -binomial  $\begin{bmatrix} k+\ell \\ k \end{bmatrix}_q$  is the generating function of partitions that fit into a  $k \times \ell$  rectangle, i.e.,  $\begin{bmatrix} k+\ell \\ k \end{bmatrix}_q = \sum q^{|\gamma|}$  where  $\gamma = (\gamma_1 \geq \gamma_2 \geq \dots \geq \gamma_k \geq 0)$  with  $\ell \geq \gamma_1$ . We also identify partitions of the above form with strictly decreasing  $k$ -tuples of integers between 0 and  $k + \ell - 1$  via the bijection  $\gamma \mapsto \bar{\gamma} = \gamma + \delta$  where  $\delta = (k-1, k-2, \dots, 0)$ .

As shown in [15], the right-hand side of (3.1) can be interpreted in terms of the so-called *partition overlaid patterns* (POPs). A POP of shape  $\lambda$  is a pair  $(T, \Lambda)$  where  $T \in \text{GT}(\lambda)$  and  $\Lambda = (\Lambda_{ij} : 1 \leq i \leq j < n)$  is a tuple of partitions such that each  $\Lambda_{ij}$  fits into a rectangle of size  $NE_{ij}(T) \times SE_{ij}(T)$ . For example, if  $T$  is the GT pattern of Figure 1, we could take  $\Lambda_{11} = (2, 1, 0)$ ,  $\Lambda_{12} = (2)$ ,  $\Lambda_{13} = (1, 1)$ ,  $\Lambda_{22} = (0, 0, 0)$ ,  $\Lambda_{23} = (1)$ ,  $\Lambda_{33} = (2, 2)$ . We imagine the  $\Lambda_{ij}$  as being placed in a triangular array as in Figure 1. We let  $\text{POP}(\lambda)$  denote the set of POPs of shape  $\lambda$ . It is now clear from (3.1) that

$$W_\lambda(X_n; q) = \sum_{(T, \Lambda) \in \text{POP}(\lambda)} x^T q^{|\Lambda|} \quad (3.2)$$

where  $|\Lambda| = \sum_{i,j} |\Lambda_{ij}|$ . We remark that  $W_\lambda(X_n; q)$  is the character of the *local Weyl module*  $W_{\text{loc}}(\lambda)$  - a module for the current algebra  $\mathfrak{sl}_n[t]$  [6, 5]. Further, POPs of shape  $\lambda$  index a special basis of this module with Gelfand-Tsetlin like properties [6, 15].

### 3.2 Projection and Branching for Partition overlaid patterns

Given  $\lambda = (\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n \geq 0)$ , we say that  $\mu = (\mu_1, \mu_2, \dots, \mu_{n-1})$  *interlaces*  $\lambda$  (and write  $\mu \prec \lambda$ ) if  $\lambda_i \geq \mu_i \geq \lambda_{i+1}$  for  $1 \leq i < n$ . The  $q$ -Whittaker polynomials have the following important properties which readily follow from (3.2):

(*projection*)  $W_\lambda(X_n; q = 0) = s_\lambda(X_n)$ , the Schur polynomial, and

$$(\textit{branching}) \quad W_\lambda(x_1, x_2, \dots, x_{n-1}, x_n = 1; q) = \sum_{\mu \prec \lambda} \prod_{1 \leq i < n} \begin{bmatrix} \lambda_i - \lambda_{i+1} \\ \lambda_i - \mu_i \end{bmatrix}_q \cdot W_\mu(X_{n-1}; q) \quad (3.3)$$

In fact, Chari-Loktev [6] lift (3.3) to the level of modules, showing that the local Weyl module  $W_{\text{loc}}(\lambda)$  when restricted to  $\mathfrak{sl}_{n-1}[t]$  admits a filtration whose successive quotients are of the form  $W_{\text{loc}}(\mu)$  for  $\mu \prec \lambda$ ; further their graded multiplicities are precisely given by the product of  $q$ -binomial coefficients that appear in (3.3).

The combinatorial shadow of projection is the map  $\text{pr} : \text{POP}(\lambda) \rightarrow \text{GT}(\lambda)$  given by  $\text{pr}(T, \Lambda) = T$ . Likewise, we define *combinatorial branching* to be the map  $\text{br} : \text{POP}(\lambda) \rightarrow \bigsqcup_{\mu \prec \lambda} \text{POP}(\mu)$  defined by  $\text{br}(T, \Lambda) = (T^\dagger, \Lambda^\dagger)$  where  $T^\dagger$  is obtained from  $T$  by deleting its bottom row, and  $\Lambda^\dagger$  is obtained from  $\Lambda$  by deleting the overlays  $\Lambda_{ij}$  with  $j = n - 1$ .

### 3.3 Box complementation

In addition to  $\text{pr}$  and  $\text{br}$ ,  $\text{POP}(\lambda)$  is endowed with another important map, which we term *box complementation*. Observe that given a partition  $\pi = (\pi_1 \geq \pi_2 \geq \cdots \geq \pi_k \geq 0)$  fitting into a  $k \times \ell$  rectangle, i.e., with  $\pi_1 \leq \ell$ , we may consider its complement in this rectangle, defined by  $\pi^c = (\ell - \pi_k \geq \ell - \pi_{k-1} \geq \cdots \geq \ell - \pi_1)$ . Now, for  $(T, \Lambda) \in \text{POP}(\lambda)$ , define  $\text{boxcomp}(T, \Lambda) = (T, \Lambda^c)$  where for each  $i, j$ ,  $(\Lambda^c)_{ij}$  is defined to be the complement of  $\Lambda_{ij}$  in its bounding rectangle of size  $\text{NE}_{ij}(T) \times \text{SE}_{ij}(T)$ .

We note that since  $|\Lambda| \neq |\Lambda^c|$  in general,  $\text{boxcomp}$  preserves  $x$ -weights, but not  $q$ -weights. However  $|\Lambda| + |\Lambda^c| = \sum_{i,j} \text{NE}_{ij}(T) \text{SE}_{ij}(T) =: \text{area}(T)$  (in the terminology of [15]), which depends only on  $T$ .

## 4 Projection and branching for Column strict fillings

Our goal is to construct natural bijections between  $\text{CSF}(\lambda)$  and  $\text{POP}(\lambda)$  which explain the equality of (2.3) and (3.2) for  $v = \text{inv}, \text{quinv}$ . In addition to preserving  $x$ - and  $q$ -weights, we would like our bijections to be compatible with projection and branching. Towards this end, we first define these latter maps in the setting of  $\text{CSF}(\lambda)$ .

### 4.1 Projection: rowsort

Given  $F \in \text{CSF}(\lambda)$ , let  $\text{rsort}(F)$  denote the filling obtained from  $F$  by sorting entries of each row in ascending order. In light of the following easy lemma, we think of  $\text{rsort}$  as the projection map in the CSF setting.

**Lemma 2.** *If  $F \in \text{CSF}(\lambda)$ , then  $\text{rsort}(F) \in \text{SSYT}(\lambda) \cong \text{GT}(\lambda)$ .*

### 4.2 Branching: delete-and-splice

A strictly increasing sequence  $a = (a_1 < a_2 < \cdots < a_m)$  of positive integers will also be termed a *column tuple* with  $\text{len}(a) = m \geq 0$ . Let  $\ell \geq 1$  and suppose  $\sigma = (\sigma_1 < \sigma_2 <$

$\cdots < \sigma_{\ell-1}$ ) and  $\tau = (\tau_1 < \tau_2 < \cdots < \tau_\ell)$  are column tuples of length  $\ell - 1$  and  $\ell$  respectively. We set  $\sigma_0 = 0$  and let  $k$  denote the maximum element of the (non-empty) set  $\{1 \leq i \leq \ell : \sigma_{i-1} < \tau_i\}$ . Define  $\text{splice}(\sigma, \tau) = (\bar{\sigma}, \bar{\tau})$  where

$$\bar{\sigma}_i = \begin{cases} \sigma_i & 1 \leq i < k \\ \tau_i & k \leq i \leq \ell \end{cases} \quad \text{and} \quad \bar{\tau}_i = \begin{cases} \tau_i & 1 \leq i < k \\ \sigma_i & k \leq i < \ell \end{cases}$$

i.e.,  $\bar{\sigma}, \bar{\tau}$  are obtained by swapping certain suffix portions of  $\sigma, \tau$ . The choice of  $k$  ensures that  $\bar{\sigma}, \bar{\tau}$  are also column tuples; we also have  $\text{len}(\bar{\sigma}) = \text{len}(\tau)$  and  $\text{len}(\bar{\tau}) = \text{len}(\sigma)$ . For instance, when  $(\sigma, \tau) = \left( \begin{bmatrix} 1 \\ 5 \end{bmatrix}, \begin{bmatrix} 2 \\ 3 \\ 4 \end{bmatrix} \right)$ , we get  $(\bar{\sigma}, \bar{\tau}) = \left( \begin{bmatrix} 1 \\ 3 \\ 4 \end{bmatrix}, \begin{bmatrix} 2 \\ 5 \end{bmatrix} \right)$ .

We now define the delete-and-splice rectification ("dsplice") map on  $F \in \text{CSF}(\lambda)$  as follows: **(1)** delete all cells in  $F$  containing the entry  $n$  and let  $F^\dagger$  denote the resulting filling. While its column entries remain strictly increasing,  $F^\dagger$  may no longer be of partition shape. **(2)** Let  $\sigma^{(j)}$  ( $j \geq 1$ ) denote the column tuple obtained by reading the  $j^{\text{th}}$  column of  $F^\dagger$  from top to bottom. If  $F^\dagger$  is not of partition shape, there exists  $j \geq 1$  such that  $\text{len}(\sigma^{(j+1)}) = \text{len}(\sigma^{(j)}) + 1$ . Choose any such  $j$  and modify  $F^\dagger$  by replacing the pair of columns  $(\sigma^{(j)}, \sigma^{(j+1)})$  in  $F^\dagger$  by  $\text{splice}(\sigma^{(j)}, \sigma^{(j+1)})$ . This swaps the column lengths and brings the shape of  $F^\dagger$  one step closer to being a partition. **(3)** If the shape of  $F^\dagger$  is a partition, STOP. Else go back to step 2.

It is clear that this process terminates and finally produces a CSF of partition shape (filled by numbers between 1 and  $n - 1$ ), which we denote  $\text{dsplice}(F)$ . The following properties hold:

**Proposition 1.** *With notation as above: (i)  $D := \text{dsplice}(F)$  is independent of the intermediate choices of  $j$  made in step 2 of the procedure. (ii)  $\text{rsort}(D)$  is obtained from  $\text{rsort}(F)$  by deleting the cells containing the entry  $n$ . (iii) If  $\mu$  and  $\lambda$  are the shapes of  $D$  and  $F$  respectively, then  $\mu \prec \lambda$ .*

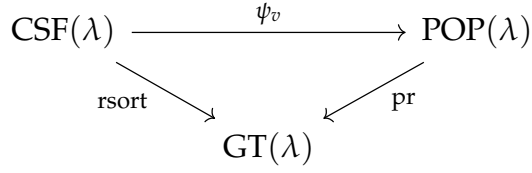
We consider  $\text{dsplice}$  to be the combinatorial branching map in the CSF context. Its key property is its compatibility with the natural branching map  $\text{br}$  of the POP setting.

## 5 The main theorem

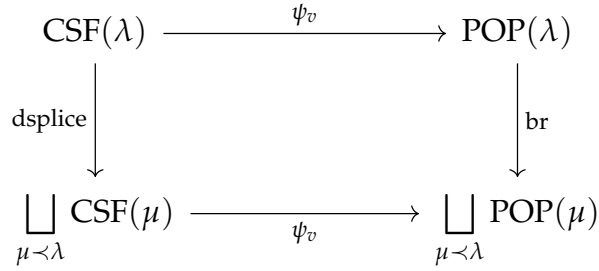
**Theorem 1.** *For any  $n \geq 1$  and any partition  $\lambda : \lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_n \geq 0$  with at most  $n$  nonzero parts, there exist two bijections  $\psi_{\text{inv}}$  and  $\psi_{\text{quinv}}$  from  $\text{CSF}(\lambda)$  to  $\text{POP}(\lambda)$  with the following properties:*

1. *If  $\psi_v(F) = (T, \Lambda)$ , then  $x^F = x^T$  and  $v(F) = |\Lambda|$ , for  $v = \text{inv}$  or  $\text{quinv}$ .*
2. *The following diagrams commute ( $v = \text{inv}$  or  $\text{quinv}$ ):*

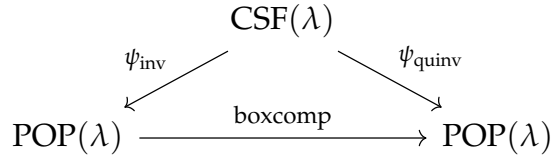
(A)



(B)



3. The two bijections are related via the commutative diagram:



□

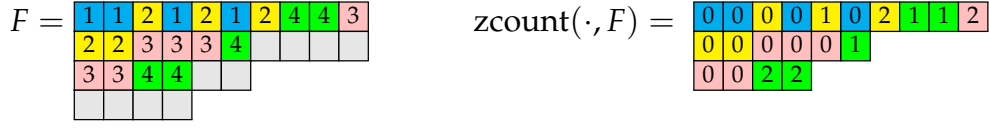
To summarize,  $\psi_{\text{inv}}$  and  $\psi_{\text{quinv}}$  acting on a CSF produce POPs with the same underlying GT pattern, but with complementary overlays. These bijections are compatible with the natural projection and branching maps, and preserve  $x$ - and appropriate  $q$ -weights (inv or quinv). Note the slight abuse of notation in part 2(B) above: for  $\mu \prec \lambda$ ,  $\text{CSF}(\mu)$  denotes the set of column strict fillings  $F : \text{dg}(\mu) \rightarrow [n - 1]$  (rather than  $[n]$ ). Theorem 1, with the exception of part 2(B), can also be formulated in the setting of  $q$ -Whittaker functions in infinitely many variables. Next, we obtain the following corollaries:

**Corollary 1.** Let  $T \in \text{GT}(\lambda)$  and let  $\text{rsort}^{-1}(T) = \{F \in \text{CSF}(\lambda) : \text{rsort}(F) = T\}$  be the fiber of  $\text{rsort}$  over  $T$ .

- $$\sum_{F \in \text{rsort}^{-1}(T)} q^{\text{inv}(F)} = \sum_{F \in \text{rsort}^{-1}(T)} q^{\text{quinv}(F)} = \text{wt}_q(T).$$

- $$\text{inv}(F) + \text{quinv}(F) = \text{area}(T) \text{ is constant for } F \in \text{rsort}^{-1}(T).$$

An interpretation of  $\text{wt}_q(T)$  in terms of flags of subspaces compatible with nilpotent operators appears in [12, Theorem 5.8(i)]. In [1], the authors asked for an explicit bijection on  $\mathcal{F}(\lambda)$  which interchanges the inv and quinv statistics. We describe this bijection on  $\text{CSF}(\lambda)$ , thereby partially answering their question.



**Figure 2:** Here  $F \in \text{CSF}(\lambda)$  for  $\lambda = (10, 6, 4, 0)$  and  $n = 4$ . Cells of  $F$  are coloured according to their entries. The gray cells are the extra cells in the augmented diagram  $\widehat{\text{dg}}(\lambda)$ . On the right are cellwise  $zcount$  values. Here  $\text{quinv}(F) = 12$ .

**Corollary 2.** *The map  $\Omega : \psi_{\text{inv}}^{-1} \circ \psi_{\text{quinv}} = \psi_{\text{inv}}^{-1} \circ \text{boxcomp} \circ \psi_{\text{inv}} : \text{CSF}(\lambda) \rightarrow \text{CSF}(\lambda)$  is an involution satisfying  $\text{inv}(\Omega(F)) = \text{quinv}(F)$  for all  $F \in \text{CSF}(\lambda)$ .*

The explicit construction of the  $\psi_v$  and their inverses in the next section makes  $\Omega$  effectively computable.

## 6 Proof sketch

For a partition  $\lambda$ , the augmented diagram  $\widehat{\text{dg}}(\lambda)$  is  $\text{dg}(\lambda)$  together with one additional cell below the last cell in each column (see Figure 2). Given  $F \in \text{CSF}(\lambda)$ , a *quinv-triple* in  $F$  is a triple of cells  $(x, y, z)$  in  $\widehat{\text{dg}}(\lambda)$  such that (i)  $x, z \in \text{dg}(\lambda)$  and  $z$  is to the right of  $x$  in the same row, (ii)  $y$  is the cell immediately below  $x$  in its column, (iii)  $F(x) < F(z) < F(y)$ , where we set  $F(y) = \infty$  if  $y$  lies outside  $\text{dg}(\lambda)$ . It is easy to see that the quinv-triples considered in [1] for  $F \in \mathcal{F}(\lambda)$  reduce to this description when  $F$  is a CSF rather than a general filling. Thus,  $\text{quinv}(F)$  as defined in [1] equals the number of quinv-triples in  $F$  (as defined above) for a CSF  $F$ .

Given  $F \in \text{CSF}(\lambda)$ , we define a function  $zcount$  which tracks the contributions of individual cells of  $\text{dg}(\lambda)$  to  $\text{quinv}(F)$  as follows: for each cell  $c \in \text{dg}(\lambda)$ , let  $zcount(c, F) =$  the number of quinv-triples  $(x, y, z)$  in  $F$  with  $z = c$ . Clearly

$$\sum_{c \in \text{dg}(\lambda)} zcount(c, F) = \text{quinv}(F) \quad (6.1)$$

We next group cells of the filling  $F$  row-wise according to the entries they contain. More precisely, let  $\text{cells}(i, j, F) = \{c \in \text{dg}(\lambda) : c \text{ is in the } i^{\text{th}} \text{ row and } F(c) = j + 1\}$  for  $1 \leq i \leq j + 1 \leq n$ . Figure 2 shows an example, with these groups colour-coded in each row. It readily follows from §3 that

$$|\text{cells}(i, j, F)| = NE_{ij}(T), \text{ where } T = \text{rsort}(F). \quad (6.2)$$

The next proposition brings the SE differences also into play [3]:

**Proposition 2.** *Let  $F \in \text{CSF}(\lambda)$  and  $T = \text{rsort}(F)$ . Fix  $1 \leq i \leq j + 1 \leq n$ . (1) If  $c \in \text{cells}(i, j, F)$ , then  $zcount(c, F) \leq SE_{ij}(T)$ . (2) If  $c, d \in \text{cells}(i, j, F)$  with  $c$  lying to the right of  $d$ , then  $zcount(c, F) \geq zcount(d, F)$ . (3) Further, equality holds in (1) for all  $i, j$  and all cells  $c \in \text{cells}(i, j, F)$  iff  $F = T$ .*





**Figure 3:** (left to right) Configuration of quinv, inv and refin triples.

## 6.1 Definition of $\psi_{\text{quinv}}$

We now have all the ingredients in place to define  $\psi_{\text{quinv}}$ . Let  $F \in \text{CSF}(\lambda)$  and  $T = \text{rsort}(F)$ . For each  $1 \leq i \leq j+1 \leq n$ , consider the sequence

$$\Lambda_{ij} = (\text{zcount}(c, F) : c \in \text{cells}(i, j, F) \text{ traversed right to left in row } i). \quad (6.3)$$

In Figure 2, this amounts to reading the entries of a fixed colour from right to left in a given row of  $\text{zcount}(\cdot, F)$ . By Proposition 2, this is a weakly decreasing sequence bounded above by  $\text{SE}_{ij}(T)$ . Together with (6.2), this implies that  $\Lambda_{ij}$  may be viewed as a partition fitting into the  $\text{NE}_{ij}(T) \times \text{SE}_{ij}(T)$  rectangle. Since  $\text{SE}_{ij} = 0$  for  $i = j+1$ ,  $\Lambda_{ij}$  is the zero sequence in this case. We drop the pairs  $(j+1, j)$  to conclude that if  $\Lambda = (\Lambda_{ij} : 1 \leq i \leq j < n)$ , then  $(T, \Lambda) \in \text{POP}(\lambda)$ . We define  $\psi_{\text{quinv}}(F) = (T, \Lambda)$ . Clearly,  $x^F = x^T$  and (6.1) implies  $\text{quinv}(F) = |\Lambda|$ , establishing (1) of Theorem 1 for  $v = \text{quinv}$ .

## 6.2 refin triples

We now turn to the definition of  $\psi_{\text{inv}}$ . While we may anticipate doing this via a modification of the foregoing arguments, replacing quinv-triples with Haglund-Haiman-Loehr's inv-triples, that turns out not to work out-of-the-box. In place of the latter (see Figure 3), we consider triples  $(x, y, z)$  in  $\widehat{\text{dg}}(\lambda)$  where (i)  $x, z \in \text{dg}(\lambda)$  with  $z$  to the left of  $x$  in the same row, (ii)  $y$  is the cell immediately below  $x$  in its column. Given  $F \in \text{CSF}(\lambda)$ , we call  $(x, y, z)$  a *refinv-triple* (or “reflected inv-triple”) for  $F$  if in addition to (i) and (ii), we also have (iii)  $F(x) < F(z) < F(y)$ , where  $F(y) := \infty$  if  $y \notin \text{dg}(\lambda)$ . We have [3]:

**Proposition 3.** For  $F \in \text{CSF}(\lambda)$ ,  $\text{inv}(F)$  equals the number of refin-triples of  $F$ .

**Remarks.** 1. We may in fact define a new statistic<sup>2</sup> *refinv* on all fillings  $F \in \mathcal{F}(\lambda)$  as follows:  $\text{refinv}(F) = \text{Inv}(F) - \sum_{u \in \text{Des } F} \text{coarm}(u)$ , borrowing notation of [9, §2]. This replaces *arm* in HHL's definition by *coarm*. The content of Proposition 3 is that  $\text{refinv}(F) = \text{inv}(F)$  for  $F \in \text{CSF}(\lambda)$ . In fact, this equality holds more generally for all fillings  $F$  whose descent set is a union of rows of  $\text{dg}(\lambda)$ .

2. The refin triples for  $F \in \text{CSF}(\lambda)$  actually make an appearance in [13, §2.2], where they are attributed to Zelevinsky (and their total number denoted  $\widetilde{ZEL}$ ). From this perspective, the content of Proposition 3 is that  $\widetilde{ZEL}(F) = \text{inv}(F)$ .

<sup>2</sup>In fact, *refquinv* can also be likewise defined on all fillings, and agrees with *quinv* on CSFs. But rephrased in terms of refin-triples, this involves counting such triples with signs [3].

### 6.3 $\overline{\text{zcount}}$ , $\text{zcount}$ and the proof of the main theorem

Given  $F \in \text{CSF}(\lambda)$  and  $c \in \text{dg}(\lambda)$ , define  $\overline{\text{zcount}}(c, F) =$  the number of  $\text{refinv}$ -triples  $(x, y, z)$  in  $F$  with  $z = c$ . In light of Proposition 3, it is clear that

$$\sum_{c \in \text{dg}(\lambda)} \overline{\text{zcount}}(c, F) = \text{inv}(F) \quad (6.4)$$

We have the following relation between  $\overline{\text{zcount}}$  and  $\text{zcount}$  [3]:

**Proposition 4.** *Let  $F \in \text{CSF}(\lambda)$  and  $T = \text{rsort}(F)$ . Let  $1 \leq i \leq j+1 \leq n$  and  $c \in \text{cells}(i, j, F)$ . Then  $\text{zcount}(c, F) + \overline{\text{zcount}}(c, F) = \text{SE}_{ij}(T)$ .*

We may now define  $\psi_{\text{inv}}$  following the template of  $\psi_{\text{quinv}}$ . Given  $F \in \text{CSF}(\lambda)$ , let  $T = \text{rsort}(F)$ . For each  $1 \leq i \leq j < n$ , consider the sequence:

$$\overline{\Lambda}_{ij} = (\overline{\text{zcount}}(c, F) : c \in \text{cells}(i, j, F) \text{ traversed left to right in row } i)$$

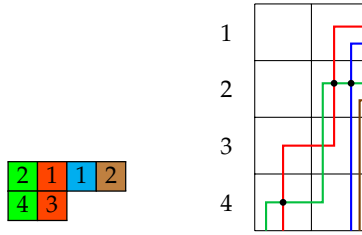
Recall also the definition of the partition  $\Lambda_{ij}$  from (6.3). It follows from Propositions 2 and 4 that  $\overline{\Lambda}_{ij}$  is the box-complement of  $\Lambda_{ij}$  in the  $\text{NE}_{ij}(T) \times \text{SE}_{ij}(T)$  rectangle. Letting  $\overline{\Lambda} = (\overline{\Lambda}_{ij} : 1 \leq i \leq j < n)$ , we define  $\psi_{\text{inv}}(F) = (T, \overline{\Lambda})$ . As in the case of  $\text{quinv}$ , we have  $x^F = x^T$ , and  $\text{inv}(F) = |\overline{\Lambda}|$  by (6.4). This proves part (1) of Theorem 1 for  $v = \text{inv}$ .

Since by definition  $\text{pr}(\psi_v(F)) = T$  for  $v = \text{inv}, \text{quinv}$ , Part (2A) of Theorem 1 follows. Part (3) of Theorem 1 follows from the fact that  $\Lambda$  and  $\overline{\Lambda}$  are box complements of each other in the appropriate rectangles. That the diagrams in part (2B) of Theorem 1 are commutative follows from an analysis of each elementary splice step of the  $\text{dsplice}$  map.

Finally, this leaves us with proving that the  $\psi_v$  are bijections. We sketch the construction of  $\psi_{\text{inv}}^{-1}$ . Given  $(T, \Lambda) \in \text{POP}(\lambda)$ , construct the filling  $F := \psi_{\text{inv}}^{-1}(T, \Lambda) \in \text{CSF}(\lambda)$  inductively row-by-row, from the bottom ( $n^{\text{th}}$ ) row to the top as follows: (a) fill all cells of the  $n^{\text{th}}$  row (if nonempty) with  $n$ , (b) let  $1 \leq i \leq j < n$ ; assuming that all rows of  $F$  strictly below row  $i$  have been completely determined and that the locations of entries  $> (j+1)$  in row  $i$  have been determined, we now need to fill  $\text{NE}_{ij}(T)$  many cells of row  $i$  with the entry  $j+1$ . It turns out that the number of cells in row  $i$  in which we can potentially put a  $j+1$  without violating the CSF condition thus far is exactly  $k + \ell$  where  $k = \text{NE}_{ij}(T)$  and  $\ell = \text{SE}_{ij}(T)$ . We label these cells  $0, 1, \dots, k + \ell - 1$  from right to left (left-to-right when defining  $\psi_{\text{quinv}}^{-1}$ ). We now use the identification from §3.1 of partitions fitting inside a  $(k \times \ell)$ -box with  $k$ -tuples of distinct integers in  $0, 1, \dots, k + \ell - 1$ . Via this, the partition  $\Lambda_{ij}$  can be viewed as a  $k$ -tuple of candidate cells in row  $i$ ; we put the entry  $j+1$  into these, (c) fill the remaining cells of row  $i$  with the entry  $i$ . The rest of the argument is straightforward [3].  $\square$

For example, let  $n = 4$ ,  $\lambda = (10, 6, 4, 0)$  and let  $T, \Lambda$  be the GT pattern and overlay depicted in Figure 1. Then  $\psi_{\text{quinv}}^{-1}(T, \Lambda)$  is precisely the CSF  $F$  of Figure 2, while

$$\psi_{\text{inv}}^{-1}(T, \Lambda) = \begin{array}{|c|c|c|c|c|c|c|c|c|c|} \hline 2 & 1 & 1 & 1 & 3 & 2 & 1 & 4 & 4 & 2 \\ \hline 3 & 3 & 2 & 2 & 4 & 3 & & & & \\ \hline 4 & 4 & 3 & 3 & & & & & & \\ \hline \end{array}$$



**Figure 4:** A CSF  $F$  with columns colour-coded to match its lattice path representation. The three marked intersections show that  $\text{inv}(F) = 3$ .

## 7 Local Weyl modules and limit constructions

Finally, we can apply these ideas to the study of local Weyl modules, in particular to the *limit constructions* of [7, 15, 16]. Let  $L(\Lambda_0)$  denote the basic representation of the affine Lie algebra  $\widehat{\mathfrak{sl}}_n$  [11, Prop. 12.13]. Using Theorem 1 to replace POPs with CSFs as our model in [15, Corollary 5.13], we deduce [3]:


**Proposition 5.** Fix  $n \geq 2$  and consider the partition  $\theta = (2, 1, 1, \dots, 1, 0)$  with  $n - 1$  nonzero parts and  $|\theta| = n$ . For  $k \geq 0$ , let  $\mathcal{C}_k$  denote the set of CSFs  $F$  of shape  $k\theta$  and entries in  $[n]$ , with the property that either 1 occurs in the first column of  $F$  or 1 does not occur in its last column. Then  $\sum_{k \geq 0} \sum_{F \in \mathcal{C}_k} x^F q^{k^2 - \text{inv}(F)}$  equals the character of  $L(\Lambda_0)$ .

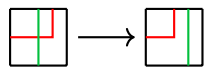
There is also a more general version with  $\lambda + k\theta$  in place of  $k\theta$  (for appropriate  $\lambda$ ), mirroring [15, Corollary 5.13].

## 8 Concluding Remarks

For the modified Hall-Littlewood polynomials  $Q'_{\lambda'}(X_n; q)$  of (2.4), the fermionic formula appears in [13, (0.2)]. Analogous to (3.2), this can now be recast as a *weighted sum* over *partition overlaid plane-partitions* (POPP) of shape  $\lambda$ . Theorem 1 takes the form of bijections from  $\text{WDF}(\lambda)$  to  $\text{POPP}(\lambda)$  (or equivalently, from tabloids to partition overlaid reverse-plane-partitions). The subtlety here is that POPPs need to be weighted with an additional power of  $q$  (which depends only on the underlying plane-partition, cf [13, (0.2)]). The refin- or quinv-triples in this case also involve  $\leq$  relations (rather than just  $<$ ) and this extra  $q$ -power keeps track of certain equalities among the triples [3].

Secondly, the bijections of Theorem 1 (and those indicated above for the modified Hall-Littlewood case) have an attractive interpretation in terms of lattice-path diagrams [8, 4]. Figure 4 shows the lattice path representation of a CSF  $F$ ;  $\text{inv}(F)$  is just the total

number of intersections of the form  in the grid, and refining this further to each box of the grid produces the partition overlay as well [3]. Likewise  $\text{quinv}(F)$  counts

non-intersections of the above form. The dsplce map of §4.2 translates into deletion of the last row of the grid followed by appropriate rectifications 

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